

[REDACTED]
[REDACTED]

19 October 2016
Reference: F0002936

Dear [REDACTED]

I am writing in respect of your recent request of 1 October 2016, for the release of information held by the Civil Aviation Authority (CAA). Your request has been considered in line with the provisions of the Freedom of Information Act 2000 (FOIA).

Please see below your request and our response in bold.

Use of intelligence from ET1 claim forms forwarded by the Employment Tribunal

Since April 2010 the Employment Tribunal has had the option to copy ET1 claim forms under the Public Interest Disclosure Act to the relevant regulators ("prescribed persons").

Please advise since April 2010:

- 1) *How many ET1 claim forms (or excerpts and information related to the claims) for whistleblowing detriment under the Public Interest Disclosure Act has CAA received from the Employment Tribunal?*

Three

- 2) *Please advise if CAA holds data on the action it took in response to these notifications by the Employment Tribunal.*

Yes, for all cases

- 3) *Please give a breakdown of the number of ET1 claim forms (or excerpts and information related to the claims) received by each financial year.*

2010 - 1
2011 - 0
2012 - 0
2013 - 0
2014 - 0
2015 - 2

- 4) *Please give a list of the bodies to which these PIDA claims relate, and the number and (if practicable) the nature of ET1s received about each organisation, broken down by financial year.*

2010 - BAA, financial mismanagement.

2015 - Thomas Cook Airlines, Pilot flight time limitation issues (safety)

2015 - TAG Farnborough Airport, various safety and security allegations.

- 5) *Has CAA undertaken any analysis of the ET1 intelligence that it has received from Employment Tribunals since April 2010? If so, please disclose all reports and or correspondence that give the details and results of the analysis/ analyses.*

The CAA has not undertaken any analysis of the ET1 intelligence that has been received from Employment Tribunals. Analysis of only three reports is not practicable or meaningful.

If you are not satisfied with how we have dealt with your request in the first instance you should approach the CAA in writing at:-

Caroline Chalk
Head of External Information Services
Civil Aviation Authority
Aviation House
Gatwick Airport South
Gatwick
RH6 0YR

caroline.chalk@caa.co.uk

The CAA has a formal internal review process for dealing with appeals or complaints in connection with Freedom of Information requests. The key steps in this process are set in the attachment.

Should you remain dissatisfied with the outcome you have a right under Section 50 of the FOIA to appeal against the decision by contacting the Information Commissioner at:-

Information Commissioner's Office
FOI/EIR Complaints Resolution
Wycliffe House
Water Lane
Wilmslow
SK9 5AF
<https://ico.org.uk/concerns/>

If you wish to request further information from the CAA, please use the form on the CAA website at <http://publicapps.caa.co.uk/modalapplication.aspx?appid=24>.

Yours sincerely



Rihanne Stephen
Information Rights Officer

CAA INTERNAL REVIEW & COMPLAINTS PROCEDURE

- The original case to which the appeal or complaint relates is identified and the case file is made available;
- The appeal or complaint is allocated to an Appeal Manager, the appeal is acknowledged and the details of the Appeal Manager are provided to the applicant;
- The Appeal Manager reviews the case to understand the nature of the appeal or complaint, reviews the actions and decisions taken in connection with the original case and takes account of any new information that may have been received. This will typically require contact with those persons involved in the original case and consultation with the CAA Legal Department;
- The Appeal Manager concludes the review and, after consultation with those involved with the case, and with the CAA Legal Department, agrees on the course of action to be taken;
- The Appeal Manager prepares the necessary response and collates any information to be provided to the applicant;
- The response and any necessary information is sent to the applicant, together with information about further rights of appeal to the Information Commissioners Office, including full contact details.