

10 April 2015  
Reference: F0002275

Dear XXXX

I am writing in respect of your recent request of 12 March 2015, for the release of information held by the Civil Aviation Authority (CAA).

Your request:

*1a) Could you please tell me how many pilots were investigated for breaching licences issued by the CAA in 2014?*

*b) In each case, please detail which type of licence was involved? And if the pilot was flying a particular plane, please give the make and model.*

*c) The reason for the investigation, i.e. what was the incident that led to the the breach/alleged breach*

*d) The date of any incident that took place*

*e) What was the outcome of the investigation? What action was taken?*

*2a - e) Same for 2013.*

Our response:

Having considered your request in line with the provisions of the Freedom of Information Act 2000 (FOIA), we are able to provide the information below which relates to investigations where pilots have exceeded the privileges of their licence, which is a breach of Article 50 of the Air Navigation Order 2009.

In relation to the date of the incident, various dates were involved for each pilot. With most investigations into breaches of Article 50 there are various dates rather than a one off flight.

**Financial year April 1 2014 to 31 March 2015**

Licence type	Aircraft type	Allegation	Outcome
LAPL	CEA Robin	Flying with no medical	Caution, which was successfully administered
PPL	Robinson R44	Flying with an expired licence	Investigation ongoing

**Financial year April 1 2013 to 31 March 2014**

Licence type	Aircraft type	Allegation	Outcome
NPPL	Ultralight	Flying aircraft unregistered, flying with an expired Certificate of Airworthiness & flying with an expired licence	No further action
PPL	Eurocopter	Exceeding privileges of licence and endangering	No further action
PPL	Bell 407	Flying with an expired licence whilst being flight crew in an aircraft registered other than the United Kingdom	Warning letter
PPL	Cessna 172	Exceeding privileges of licence. carrying out aerial work on a PPL licence	Prosecution
PPL	Cessna 172	Exceeding privileges of licence	No further action
PPL	Cessna 152	An infringement of the Syerston ATZ and flying with no rating/medical	Warning letter

LAPL – Light Aircraft Pilot’s Licence  
 NPPL – National Private Pilot’s Licence  
 PPL – Private Pilot’s Licence

If you are not satisfied with how we have dealt with your request in the first instance you should approach the CAA in writing at:-

Caroline Chalk  
 Head of External Information Services  
 Civil Aviation Authority  
 Aviation House  
 Gatwick Airport South  
 Gatwick  
 RH6 0YR

[caroline.chalk@caa.co.uk](mailto:caroline.chalk@caa.co.uk)

The CAA has a formal internal review process for dealing with appeals or complaints in connection with Freedom of Information requests. The key steps in this process are set in the attachment.

Should you remain dissatisfied with the outcome you have a right under Section 50 of the FOIA to appeal against the decision by contacting the Information Commissioner at:-

Information Commissioner's Office  
FOI/EIR Complaints Resolution  
Wycliffe House  
Water Lane  
Wilmslow  
SK9 5AF  
[www.ico.gov.uk/complaints.aspx](http://www.ico.gov.uk/complaints.aspx)

If you wish to request further information from the CAA, please use the form on the CAA website at <http://www.caa.co.uk/application.aspx?catid=286&pagetype=65&appid=24>.

Yours sincerely

A handwritten signature in black ink, appearing to read 'M Stevens'.

Mark Stevens  
External Response Manager

**CAA INTERNAL REVIEW & COMPLAINTS PROCEDURE**

- The original case to which the appeal or complaint relates is identified and the case file is made available;
- The appeal or complaint is allocated to an Appeal Manager, the appeal is acknowledged and the details of the Appeal Manager are provided to the applicant;
- The Appeal Manager reviews the case to understand the nature of the appeal or complaint, reviews the actions and decisions taken in connection with the original case and takes account of any new information that may have been received. This will typically require contact with those persons involved in the original case and consultation with the CAA Legal Department;
- The Appeal Manager concludes the review and, after consultation with those involved with the case, and with the CAA Legal Department, agrees on the course of action to be taken;
- The Appeal Manager prepares the necessary response and collates any information to be provided to the applicant;
- The response and any necessary information is sent to the applicant, together with information about further rights of appeal to the Information Commissioners Office, including full contact details.