

22 January 2015  
Reference: F0002193

Dear XXXX

I am writing in respect of your recent request of 13 January 2015 for the release of information held by the Civil Aviation Authority (CAA).

Your request:

*between the dates of 1 January 2013 - 31 December 2014.*

- *The number of attempted CAA-led prosecutions under any part of the Air Navigation Order for the use of UAV devices (broken down by month) - including the section of the Navigation order which was claimed to be breached.*
- *The number of failed convictions for the above information (broken down by month) - including the section of the Navigation order which was claimed to be breached.*
- *The number of successful convictions for the above information ((broken down by month) - including the section of the Navigation order which was claimed to be breached.*
- *Where convictions were successful, please may you provide the known outcome of the conviction, e.g. penalties/fines etc, for each case.*

Our response:

Having considered your request in line with the provisions of the Freedom of Information Act 2000 (FOIA), we are able to provide the information below.

During the period 1 January 2013 to 31 December 2014 the CAA undertook two prosecutions for a breach of the Air Navigation Order in relation to the use of unmanned aircraft, both of which were successful.

Details of both prosecutions can be found below.

1. Date of offence: 25 August 2013, Date of conviction 1 April 2014.

Offences:

- (i) Article 167(2)(c) of the Air Navigation Order 2009
- (ii) Regulation 3(2) of the Air Navigation (Restriction of Flying)(Nuclear Installations) Regulations 2007

Fine £400 for each offence (£800 total).

2. Date of offence 9 November 2013, Date of conviction 28 May 2014

Offences:

- (i) Article 166(3) of the Air Navigation Order 2009
- (ii) Article 167(1) of the Air Navigation Order 2009

Fine £150 for each offence (£300 total).

If you are not satisfied with how we have dealt with your request in the first instance you should approach the CAA in writing at:-

Caroline Chalk  
Head of External Information Services  
Civil Aviation Authority  
Aviation House  
Gatwick Airport South  
Gatwick  
RH6 0YR

[caroline.chalk@caa.co.uk](mailto:caroline.chalk@caa.co.uk)

The CAA has a formal internal review process for dealing with appeals or complaints in connection with Freedom of Information requests. The key steps in this process are set in the attachment.

Should you remain dissatisfied with the outcome you have a right under Section 50 of the FOIA to appeal against the decision by contacting the Information Commissioner at:-

Information Commissioner's Office  
FOI/EIR Complaints Resolution  
Wycliffe House  
Water Lane  
Wilmslow  
SK9 5AF

[www.ico.gov.uk/complaints.aspx](http://www.ico.gov.uk/complaints.aspx)

If you wish to request further information from the CAA, please use the form on the CAA website at <http://www.caa.co.uk/application.aspx?catid=286&pagetype=65&appid=24>.

Yours sincerely

A handwritten signature in black ink, appearing to read 'M Stevens'.

Mark Stevens  
External Response Manager

**CAA INTERNAL REVIEW & COMPLAINTS PROCEDURE**

- The original case to which the appeal or complaint relates is identified and the case file is made available;
- The appeal or complaint is allocated to an Appeal Manager, the appeal is acknowledged and the details of the Appeal Manager are provided to the applicant;
- The Appeal Manager reviews the case to understand the nature of the appeal or complaint, reviews the actions and decisions taken in connection with the original case and takes account of any new information that may have been received. This will typically require contact with those persons involved in the original case and consultation with the CAA Legal Department;
- The Appeal Manager concludes the review and, after consultation with those involved with the case, and with the CAA Legal Department, agrees on the course of action to be taken;
- The Appeal Manager prepares the necessary response and collates any information to be provided to the applicant;
- The response and any necessary information is sent to the applicant, together with information about further rights of appeal to the Information Commissioners Office, including full contact details.