

SRG 1436

Post Incident Action's Form

Part 1 – Incident Report and Initial Actions

Unit/Sector				
Incident Date/Time				
MOR Number(s)				
Aircraft Involved	Aircraft 1			
	Aircraft 2			
	Aircraft 3			
Contributory factors	ATC Procedures	Yes/No?	ATS Equipment	Yes/No?
Incident Narrative				
Did an ATCOs action have a negative contribution to the event				Yes/No?
If YES Continue below. If NO complete 'Submitted by' section and submit form				
Controller's Name			Licence Number	
Is the Controllers Competence in question				Yes/No?
If NO. Provide evidence supporting the controller's competence below.				
If YES. A declaration of provisional inability may be made, and the ATCO removed from operational duties. Part 2 of this form is to be completed after consultation with the assigned CAA inspector. Complete section below and submit form.				
Form SRG 1436 Submitted by:	Name			
	Position			
	Contact Number			

Part 2 – Incident Action Record

Name		Licence Number		Rating(s) affected	
Provisional Inability issued					Yes/No?
Provisional Suspension issued		Yes/No?	Suspension Issued		Yes/No?
Remedial Action Plan (Agreed with CAA Inspector)					
Action 1					
Action 1 completion date					
Action 2					
Action 2 completion date					
Action 3					
Action 3 completion date					
Action 4					
Action 4 completion date					
Action 5					
Action 5 completion date					
Comments					
Date Actions Complete			Date returned to Operational Duty		
Date of CAA Closure			CAA Inspector		

Guidance Notes for completing the Post Incident Action's Form SRG1436

Part 1 – Incident Report and Initial Actions

In addition to Mandatory Occurrence Reporting requirements an SRG 1436 form is to be completed in accordance with CAP 493, Section 6, Chapter 2, 1.2 Where it is determined that a controller's actions, ATS equipment and/or ATS procedures have made a negative contribution to an event.

The purpose of Part 1 of this form is to report to CAA ATM brief incident details, including a precis of initial actions taken.

The allocated CAA Inspector will request further information, where necessary. The allocated CAA Inspector may be contacted should additional discussion or advice be required.

Approved Unit Competence scheme processes will be used to facilitate an ATCO continuing to provide the privileges of the associated rating/endorsements.

Form SRG 1436 should be completed and submitted to postincidentactions@caa.co.uk with the name of the ATSU inserted into the email subject line.

Part 2 – Incident Actions Record

UK Regulation (EU) 2015/340 ATCO.AR. A.010(a)(1) states that the tasks of the Civil Aviation Authority (CAA) shall include the issue, suspension and revocation of licences, ratings, and endorsements. SRG1436 Part 2 supports the remedial actions required for incidents that could have further impact on ATCO Licences.

In addition to SRG1436 part 1, part 2 is to be completed where there is continued doubt over a controller's ability to safely exercise the privileges of their licence, provisional inability has been declared and/or additional licensing actions have been taken.

Remedial actions should be agreed with the allocated CAA Inspector with the details entered on the SRG 1436 form part 2.

The SRG 1436 form part 2 should be updated once the actions have been completed and the assigned CAA inspector notified.