

The Regulator's Licensing Rules

CAP 2221

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Chapter 1

Overview of the Rules

- 1.1 [The Space Industry Act 2018](#) (the Act) regulates all spaceflight activities carried out in the United Kingdom, and associated activities. It is supported by [The Space Industry Regulations 2021](#) (the Regulations), that set out in more detail the requirements for each licence. The Civil Aviation Authority (CAA) performs the functions of the regulator under the Act.

What is the purpose of this document?

- 1.2 The Regulator's Licensing Rules (the "Rules"), specify which Application Form to use to apply for a licence and what information the applicant will need to provide to enable the CAA to begin to determine the application.

Who is this document for?

- 1.3 This document is for any person or organisation that wishes to apply for an operator licence (launch, return, orbital), spaceport licence or range control licence under the Act. These Rules also apply in relation to applications for renewal of a licence where an expiry date has been specified in the original licence which is to be renewed.
- 1.4 This document should be read in conjunction with the Act, the Regulations, and any relevant guidance documents (see paragraph 1.17 and paragraph 1.18).
- 1.5 This document specifies the minimum information that must be supplied with an application for each licence type. Chapter 3 defines where an applicant can find the specification for their licence type.
- 1.6 The CAA may request further information from applicants in connection with their application where necessary to consider the application. The CAA will only ask for more information insofar as it is deemed necessary to determine an application in line with the matters that the CAA must satisfy itself of in order to grant a licence under the Act and Regulations.
- 1.7 If applicants have any queries, they are encouraged to contact the CAA, to seek clarification or gain further information.

How the CAA will protect information it receives

- 1.8 In performing its functions, the CAA will need at times to review confidential and commercially sensitive information. The CAA has a number of existing robust security processes in place to ensure all information supplied is handled and protected appropriately. All personal data is stored and processed in accordance

with General Data Protection Regulation requirements, including the provision of appropriate technical and organisation security controls.

- 1.9 The CAA uses standard UK Government information classifications, and has in place systems and processes designed for protecting information with higher classification requirements. Where appropriate, the CAA has systems and processes for handling of information with “Secret” classification.
- 1.10 The CAA also employs the principle of Least Privilege, which means that only approved roles are granted access to information, insofar as they need that access to carry out their roles. Privileged access is carefully controlled and limited, and access is reviewed on a regular basis. The CAA also requires protection of all information at rest through encryption.
- 1.11 There are strict requirements for personnel vetting and only staff with appropriate security clearance will be granted access.
- 1.12 The CAA uses strong access controls with multiple authentication factors, and requires the use of secure information exchange for any information requiring protection. It has a range of tools available for this purpose, including Egress for secure email and Huddle for secure file exchange and collaboration.
- 1.13 The CAA is also adopting the National Cyber Security Center Cyber Assessment Framework.

How the CAA will disclose information it receives

- 1.14 Where necessary for assisting its consideration of the application, the CAA may disclose information it has received during the application process to other persons or entities in accordance with regulation 19(6) of the Regulations.
- 1.15 Beyond regulation 19(6), in the event that CAA requires the use of a third party assessor to support the licence application process and who is not covered by regulation 19(6)(c)(ii), the CAA will seek consent from the applicant prior to any information being shared. Any such third party assessor will be subject to strict contractual confidentiality obligations and the CAA's information security requirements.

Contacting the CAA

- 1.16 The CAA can be contacted by email to commercialspaceflight@caa.co.uk. The CAA welcomes and encourages ongoing contact from prospective applicants before they submit an application for a licence. This can be from the earliest stages of considering whether to apply for a licence.

The full list of guidance documents issued in relation to the Act

- 1.17 The following guidance documents are available in relation to licences that can be granted under the Act (and any statutory instruments made under the Act):
- [Applying for a licence under the Space Industry Act 2018 \(CAP 2209\)](#)
 - [Guidance for launch operator or return operator licence applicants and licensees \(CAP 2213\)](#)
 - [Guidance for spaceport licence applicants and licensees \(CAP 2212\)](#)
 - [Guidance for range control licence applicants and licensees \(CAP 2211\)](#)
 - [Guidance for orbital operator licence applicants and licensees \(CAP 2210\)](#)
 - [Guidance for the assessment of environmental effects \(CAP 2215\)](#)
 - [Guidance on security matters for applicants and licensees \(CAP 2217\)](#)
 - [Guidance on the investigation of spaceflight accidents \(CAP 2219\)](#)
 - [Guidance on appealing decisions made under the Space Industry Act 2018 and the Outer Space Act 1986 \(CAP 2216\)](#)
 - [Guidance on insurance and liabilities under the Space Industry Act 2018 \(CAP 2218\)](#)
 - [Guidance on duties for all licensees under the Space Industry Act 2018 including monitoring and enforcement by the regulator \(CAP 2214\)](#)
 - [Guidance on Cyber Security Strategies for applicants and licensees \(CAP 2535\)](#)
- 1.18 In addition, applicants and licensees are advised to read the [Principles and guidelines for the spaceflight regulator in assessing ALARP and acceptable risk \(CAP 2220\)](#).

Chapter 2

Interpretations

2.1 In these Rules:

1. “Application Form” means the form to apply for a launch operator, return operator, orbital operator, spaceport, or range control licence that is accessible via the CAA website.
2. “Orbital Operator Licence” means an operator licence which authorises a person to procure a launch, operate a space object or conduct other activity in outer space.
3. “Board of Directors” means the directors of the body corporate.
4. “Executive Officer” means the most senior officers of the body corporate, typically appointed by the Board of Directors, and typically include Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc..
5. “Prescribed Role” means the roles set out on regulations 7 to 11 as applicable to each type of licence (e.g., accountable manager, safety manager, security manager, launch director, etc.).
6. “Day” without more means a calendar day and “Working Day” means any day other than—
 - i. a Saturday or a Sunday, or
 - ii. a day which is a bank holiday under the Banking and Financial Dealings Act 1971 in any part of the United Kingdom.
7. “Certified” in relation to a document means a photographic or other facsimile copy of that document which is certified in accordance with government guidelines on document certification (<https://www.gov.uk/certifying-a-document>).

2.2 Words and expressions used in these Rules which are defined in the Regulations or Act have the same meaning as they do in the relevant Part of the Regulations or Act.

2.3 Any reference to a regulation number in these Rules is to that regulation number in the Regulations.

2.4 Any notice or other document specified or authorised by these Rules to be sent to any person may be sent by:

1. delivering it to that person in person,

2. leaving it at that person's proper address, or
3. sending it by post or electronic means to that person's proper address.

2.5 In the case of a body corporate, any such notice or document may be sent to a director of that body or to any other officer or employee of that body ("authorised person") who is authorised to accept notices or documents on its behalf.

2.6 For the purposes of paragraph 2.4, "proper address" means:

1. in the case of a body corporate or its director or authorised person—
 - i. the registered or principal office of that body, or
 - ii. the email address of the secretary or clerk of that body, the director or the authorised person;
2. in any other case, a person's last known address, which includes an email address (so long as that email address has not been withdrawn for the purposes of service).

Chapter 3

Application Form and Supporting Information

Application Form

- 3.1 The Application Form which must be used in all applications for a licence under the Act is published on the CAA website (<https://portal.caa.co.uk/>).

Information to attached to all Application Forms

- 3.2 The Application Form must have attached to it:
1. All information which is specified as required in Table A, and
 2. any additional information referred to in Rules 3.5, 3.6, 3.7, 3.8, or 3.9 which is applicable to the particular type of licence for which the applicant is applying.

This is portrayed in the table below. Tables A-F make reference to Table G, which specifies particular items of information that must be provided in respect of **Executive Officers**, those in **Prescribed Roles**, and the **Board of Directors**.

Title	Rule Paragraph Number	Table
Application Form	Rule 3.1	-
Information Required by All Licence Types	Rule 3.2	Table A
Additional information for a Launch Operator Licence Application	Rule 3.5	Table B
Additional information for a Return Operator Licence Application	Rule 3.6	Table C
Additional information for an Orbital Operator Licence Application	Rule 3.7	Table D
Additional information for a Spaceport Licence Application	Rule 3.8	Table E
Additional information for a Range Control Licence Application	Rule 3.9	Table F

- 3.3 All information referred to in Rule 3.2 must be provided:
1. In writing, and
 2. in English or Welsh.

(Note: The declaration on the Application Form applies to the information referred to in this rule. A Certified translation by a professional translation company should be provided for any legal documents written in a language other than English or Welsh.)

- 3.4 Any documentation regarding cybersecurity and/or above OFFICIAL-SENSITIVE must be sent to the CAA via a suitable means for handling such information. The CAA will contact applicants with guidance and means for performing this action.

Additional information for a Launch Operator Licence Application

- 3.5 Where the application being made is for a launch operator licence, the Application Form must have attached to it the information specified in Table B.

Additional information for a Return Operator Licence Application

- 3.6 Where the application being made is for a return operator licence, the Application Form must have attached to it the information specified in Table C.

Additional information for an Orbital Operator Licence Application

- 3.7 Where the application being made is for an Orbital Operator Licence, the Application Form must have attached to it the information specified in Table D.

Additional information for a Spaceport Licence Application

- 3.8 Where the application being made is for a spaceport licence, the Application Form must have attached to it the information specified in Table E

Additional information for a Range Control Licence Application

- 3.9 Where the application being made is for a range control licence, the Application Form must have attached to it the information specified in Table F

Chapter 4

Procedural Irregularities

- 4.1 Where there has been a procedural error in the application, for example failure to use the correct form or failure to attach the correct information, the CAA may give a direction to the applicant to remedy the error. Such a direction will only be issued in writing. Unless the CAA directs otherwise, errors do not invalidate any steps taken in the application process leading to the CAA's determination of the application.
- 4.2 The CAA expects all information specified within these Rules to be provided with each application. However, where necessary, the CAA may specify deviations to the procedure set out in these Rules. The CAA will engage with applicants where this may be the case.

Chapter 5

Timing of Application

To renew a licence

- 5.1 An application by a licensee to renew a licence under section 14(2) of the Act for a further specified period must be made at least 90 days before the start of the day on which the licence expires.
- (Note: “licensee” in this rule means the holder of an operator licence, a spaceport licence or a range control licence under the Act)*
- 5.2 At least two Working Days before the start of the day on which the 90-day period (the period of 90 days before the start of the day on which the licence referred to in rule 5.1 expires) begins, the applicant may send a written request to the CAA for a reduction of the 90-day period, stating:
1. The reasons for the request, and
 2. the date by or on which the applicant will make its application to renew the licence.
- 5.3 The CAA may grant the applicant’s request to reduce the 90-day period if it appears reasonable to the CAA to do so.
- 5.4 If the CAA decides to grant the applicant’s request to reduce the 90-day period, the CAA must send a written notice of that grant to the applicant confirming the revised date on or by which the application for renewal must be made.
- 5.5 If the CAA decides not to grant the applicant’s request to reduce the 90-day period, the CAA must:
1. Send a written notice of that decision to the applicant, and
 2. give reasons for that decision.

To respond to information requests

- 5.6 Applicants will normally have 28 days to provide a response, from the point of receiving a request for information from the CAA. The CAA can extend or reduce this duration on a case-by-case basis if it appears reasonable to do so. Applicants should contact the CAA if an extension of the 28-day period is to be requested.
- 5.7 The progress of the application depends on the timeliness of the response to the request for information; a delay in response from the applicant could introduce a delay to the application process.

Chapter 6

Regulator's Licensing Rules Tables

Table A

Information Required by All Licence Types

Item Number	Information to be attached to Application Form
1	<p><u>Legal identity</u></p> <ol style="list-style-type: none"> Where an applicant is a body corporate registered in the UK, the applicant must provide: <ul style="list-style-type: none"> The company's registration number The company's registered office address Where an applicant is a body corporate registered in a country outside the UK, the applicant must provide: <ul style="list-style-type: none"> The company's registration number The company's registered office address A Certified copy of the Certificate of Registration or equivalent from the country in which the company is registered Identity information as detailed in Item 1 of Table G relating to Executive Officers. <p><i>(Note: Where the applicant is not a body corporate but instead a partnership or some other form of organisation such as a government, academic institution, or charity etc., the applicant should provide equivalent information to that listed above in 1, 2, and 3 as far as is possible. The information in 2 should be provided in either English or Welsh.)</i></p>
2	<p><u>Eligibility and fit and proper persons</u></p> <p>Information as detailed in Item 2 and Item 3 of Table G relating to Executive Officers and the Board of Directors</p>
3	<p><u>Details of the applicant's legal status</u></p> <ol style="list-style-type: none"> Information comprising: <ul style="list-style-type: none"> Where the applicant is a body corporate: the Memorandum of Association and the Articles of Association (or, in the case of a body corporate registered elsewhere than the UK, any equivalent documents)

Item Number	Information to be attached to Application Form
	<ul style="list-style-type: none"> ▪ Where the applicant is a body corporate with shared ownership and/or publicly-traded shares: identification details (including nationality) of any person with an interest of 10% or more ▪ Where the applicant's business is carried on as a partnership: a Certified copy of the partnership agreement ▪ Any trading names of the applicant <p>2. If an applicant intends to bring equipment or material into the UK for use in its spaceflight activities for which an export licence is required, a copy of the export licence, or information relating to the progress of an application for an export licence.</p> <p>3. An explanation of the relationship of the applicant with other parts of the corporate group (if applicable).</p> <p><i>(Note: charts and organograms are encouraged for use in this explanation)</i></p>
4	<p><u>Evidence of the applicant's financial resources</u></p> <p>1. The applicant's business plan relating to the period:</p> <ul style="list-style-type: none"> ▪ Of one year from the date on which it is estimated the licence will be granted, or ▪ from the date on which it is estimated the licence will be granted until the estimated date of expiry of the licence if the latter date is expected to be earlier than one year from the date of its grant <p><i>(Note: A business plan includes:</i></p> <ul style="list-style-type: none"> ▪ <i>Projected cash flow statements and liquidity plans</i> ▪ <i>A projected balance sheet, including profit and loss account</i> ▪ <i>Details of the applicant's financial links with any other commercial activities in which the applicant is engaged either directly or through related undertakings)</i> <p>2. Other financial information or forecasts (where these are not included in the business plan):</p> <ul style="list-style-type: none"> ▪ The basis for the projected expenditure and income figures (such as revenue forecasts) on items such as facilities, equipment and personnel, engineering and test processes, use of consumables, services provided by third parties, travel and transport, depreciation, exchange rate fluctuations, spaceport and range charges, and any other significant charges on the operation and insurance ▪ the applicant's most recent internal management accounts for their business and, if available, audited accounts for the previous financial year ▪ details of existing and projected sources of finance

Item Number	Information to be attached to Application Form
	<ul style="list-style-type: none"> ▪ details of any start-up costs incurred in the period from submission of an application to the commencement of operations and an explanation of how the applicant proposes to finance these costs ▪ if the applicant is part of a group of undertakings, information on the relationship between the parties to the undertakings ▪ list of existing assets owned and/or leased. <p><i>(Note: In the case of leased assets that will be used as part of the licensable activity, the lessor's identity information as required by Item 1 of Table A must also be provided)</i></p>
5	<p><u>Use of agents</u></p> <p>Information comprising the scope of any licensed activities to be undertaken by agents, and any applicable agency contracts</p>
6	<p><u>National security vetting</u></p> <p>Information related to the applicant's ability, if granted a licence, to comply with regulation 187, comprising:</p> <ol style="list-style-type: none"> 1. For any individual who is to undertake, on behalf of the applicant, the Prescribed Role of security manager under regulations 7, 9, 10, or 11: <ul style="list-style-type: none"> ▪ Information about any official level of security clearance the nominated security manager holds or has held, whether or not the clearance was issued in the United Kingdom ▪ Information confirming whether or not the nominated security manager has undergone a UK Counter Terrorism Check (CTC). 2. For any other individual carrying out essential security functions as part of their employment: <ul style="list-style-type: none"> ▪ Information about how the applicant, if granted a licence, will ensure that the individuals have undergone a satisfactory background check as a condition of being engaged, or continuing to be engaged, to carry out security functions <p><i>(Note: The CAA will advise applicants when regulation 9(5) or 10(2) applies as part of the pre-application or application process. Early engagement with the CAA is always advised.)</i></p>

Table B**Additional Information Required by Launch Operator Licence Applications**

Item Number	Type of launch operator licence application	Information to be attached to Application Form
1	All types	The safety case including, as a minimum, all the information required under regulation 29
2	All types	A copy of the safety operations manual
3	All types	The assessment of environmental effects
4	All types	<p>The information specified in Table G in respect of the individuals in the following Prescribed Roles:</p> <ul style="list-style-type: none"> ▪ Accountable manager ▪ Launch director ▪ Safety manager ▪ Security manager ▪ Training manager <p><i>(Note: The CAA's approval of the individual who is to be the training manager is required under regulation 61(1). A separate application for that approval must be made to the CAA under regulation 62)</i></p>
5	All types	<ol style="list-style-type: none"> 1. A draft cyber security strategy, based on a cyber security risk assessment, for the proposed operation (see regulation 185 and CAP 2535) 2. The cyber security risk assessment <p><i>(Note: Cyber security submissions should not be submitted in the main Application Form – see paragraph 3.4)</i></p>
6	All types	<ol style="list-style-type: none"> 1. A draft operator security programme, based on a security risk assessment, for the proposed operation (see regulation 171) 2. The security risk assessment
7	All types	Radio frequencies and powers to be used during the spaceflight activities

Item Number	Type of launch operator licence application	Information to be attached to Application Form
8	All types	<p>Copies of third party liability insurance or, if not available at the time the application is submitted, evidence of engagement with insurers and/or other parties on insurance and indemnities and proposals for such arrangements, in either case, in respect of activities to be licensed by the CAA</p> <p><i>(Note: The CAA understands that copies of insurance may not be available at the time the application is submitted, but please note that these must be provided prior to any licensed activity being conducted)</i></p>
9	Launch operator licence applications where the licence would authorise spaceflight activities involving both US technology and either a non-US vehicle or a foreign spacecraft	<p>Information as to the nationality of any person who has contributed money, equipment, technology or personnel to the production or acquisition of any essential and integral part of:</p> <ol style="list-style-type: none"> 1. The non-US vehicle, 2. the foreign spacecraft, or 3. the applicant's launch business.
10	Launch operator licence applications where launch vehicle is to have a human occupant	The risk assessment including, as a minimum, all the information required under regulations 32 and 33
11	Launch operator licence applications where launch vehicle is to have a human occupant	Evidence that the applicant will be able to meet the requirements of any of regulations 106 to 123 that will apply
12	Launch operator licence applications where launch	<p>For a launch vehicle which is a sub-orbital aircraft (but not a balloon):</p> <ol style="list-style-type: none"> 1. For each member of the flight crew:

Item Number	Type of launch operator licence application	Information to be attached to Application Form
	vehicle is to have crew	<ul style="list-style-type: none"> ▪ A commercial pilot's licence with an instrument rating, issued by the CAA, or ▪ an ICAO compliant commercial pilot's licence with instrument rating which fulfils the requirements of paragraph 14 of Schedule 3 to the Regulations. <p>2. For the sub-orbital aircraft engineer:</p> <ul style="list-style-type: none"> ▪ An aircraft engineer's licence issued by the CAA which is relevant to turbo-jet powered aircraft, or ▪ an equivalent ICAO compliant aircraft maintenance engineer's licence. <p>For a launch vehicle with crew which is a balloon, and in respect of the pilot only:</p> <ol style="list-style-type: none"> 1. A commercial pilot's licence for balloons issued by the CAA, or 2. an ICAO compliant commercial pilot's licence for balloons
13	Launch operator licence applications where the licence would authorise launch from a ship and/or authorise control of sub-orbital activities from a ship	<p>Information evidencing:</p> <ol style="list-style-type: none"> 1. The location of the proposed launch and/or control of the sub-orbital activities, 2. the flag of the ship from which the launch vehicle is to be launched and/or sub-orbital activities are to be controlled, 3. the nationality of the operator.

Table C**Additional Information Required by Return Operator Licence Applications**

Item Number	Type of return operator licence application	Information to be attached to Application Form
1	All types	The safety case including, as a minimum, all the information required under regulation 29
2	All types	A copy of the safety operations manual
3	All types	<p>The information specified in Table G in respect of the individuals in the following Prescribed Roles:</p> <ul style="list-style-type: none"> ▪ Accountable manager ▪ Safety manager ▪ Security manager (where regulation 9(5) applies) <p><i>(Note: The CAA will advise applicants when regulation 9(5) applies as part of the pre-application or application process. Early engagement with the CAA is always advised.)</i></p>
4	All types	<ol style="list-style-type: none"> 1. A draft cyber security strategy, based on a cyber security risk assessment, for the proposed operation (see regulation 185 and CAP 2535) 2. The cyber security risk assessment <p><i>(Note: Cyber security submissions should not be submitted in the main Application Form – see paragraph 3.4)</i></p>
5	Return operator licence applications where regulation 9(5) applies	<ol style="list-style-type: none"> 1. A draft operator security programme, based on a security risk assessment, for the proposed operation (see regulation 171) 2. The security risk assessment <p><i>(Note: the CAA will advise applicants when regulation 9(5) applies as part of the pre-application or application process. Early engagement with the CAA is always advised.)</i></p>
6	All types	Copies of third party liability insurance or, if not available at the time the application is submitted, evidence of engagement with insurers and/or other parties on insurance and indemnities and proposals for such arrangements, in either case, in respect of activities to be licensed by the CAA

Item Number	Type of return operator licence application	Information to be attached to Application Form
		<i>(Note: The CAA understands that copies of insurance may not be available at the time the application is submitted, but please note that these must be provided prior to any licensed activity being conducted)</i>
7	Return operator licence applications where the licence would authorise landing on a ship	<p>Information evidencing:</p> <ol style="list-style-type: none"> 1. The location of the proposed landing, 2. the flag of the ship on which a launch vehicle is to be landed, 3. the nationality of the operator.

Table D**Additional Information Required by Orbital Operator Licence Applications**

Item Number	Type of Orbital Operator Licence application	Information to be attached to Application Form
1	All types	A description of the nature of the space activities the applicant is proposing to carry out
2	All types	<p>The information specified in Table G in respect of the individuals in the following Prescribed Roles:</p> <ul style="list-style-type: none"> ▪ Accountable manager ▪ Security manager (where regulation 10(2) applies) <p><i>(Note: the CAA will advise applicants when regulation 10(2) applies as part of the pre-application or application process. Early engagement with the CAA is always advised.)</i></p>
3	All types	<p>Contract documentation, including</p> <ol style="list-style-type: none"> 1. The Launch Services Agreement 2. Satellite supply contract 3. Other relevant information (e.g. any contracts relevant to the operation of the satellite)
4	All types	A completed Technical Question Set (see SRG2228) and associated evidence
5	All types	<ol style="list-style-type: none"> 1. A draft cyber security strategy, based on a cyber security risk assessment, for the proposed operation (see regulation 185 and CAP 2535) 2. The cyber security risk assessment <p><i>(Note: Cyber security submissions should not be submitted in the main Application Form – see paragraph 3.4)</i></p>
6	Orbital applications where regulation 10(2) applies	<ol style="list-style-type: none"> 1. A draft operator security programme, based on a security risk assessment, for the proposed operation (see regulation 171) 2. The security risk assessment

Item Number	Type of Orbital Operator Licence application	Information to be attached to Application Form
		<i>(Note: The CAA will advise applicants when regulation 10(2) applies as part of the pre-application or application process. Early engagement with the CAA is always advised.)</i>
7	All types	A completed Radio Frequency / Spectrum Question Set (see SRG2229)
8	All types	Orbital location information
9	All types	<p>Copies of third party liability insurance or, if not available at the time the application is submitted, evidence of engagement with insurers and/or other parties on insurance and indemnities and proposals for such arrangements, in either case, in respect of activities to be licensed by the CAA</p> <p><i>(Note: the CAA understands that copies of insurance may not be available at the time the application is submitted, but please note that these must be provided prior to any licensed activity being conducted)</i></p>
10	Orbital Operator Licence applications where space activities are to be controlled from a ship	<p>Information evidencing:</p> <ol style="list-style-type: none"> 1. The location of the proposed control of the space activities, 2. the flag of the ship from which those activities are to be controlled, 3. the nationality of the operator.

Table E**Additional Information Required by Spaceport Licence Applications**

Item Number	Type of spaceport licence application	Information to be attached to Application Form
1	All types	The safety case including, as a minimum, all the information required under regulation 36
2	All types	The siting assessment including, as a minimum, all the information required under regulation 38, and an explanation of the methodology used to produce the assessment outcomes
3	All types	The assessment of environmental effects
4	All types	<p>The information specified in Table G in respect of the individuals in the following Prescribed Roles:</p> <ul style="list-style-type: none"> ▪ Accountable manager ▪ Safety manager ▪ Security manager
5	All types	<ol style="list-style-type: none"> 1. A draft cyber security strategy, based on a cyber security risk assessment, for the proposed operation (see regulation 185 and CAP 2535) 2. The cyber security risk assessment <p><i>(Note: Cyber security submissions should not be submitted in the main Application Form – paragraph 3.4)</i></p>
6	All types	<ol style="list-style-type: none"> 1. A draft space site security programme, based on a security risk assessment, for the proposed operation (see regulation 170) 2. The security risk assessment

Table F**Additional Information Required by Range Control Licence Applications**

Item Number	Type of range control licence application	Information to be attached to Application Form
1	Where the licensee's range control services consist of or include monitoring the designated range	A document setting out any proposed or intended relevant agreements with relevant authorities including (if possible) identification of the relevant authorities (see regulations 43 and 44)
2	All types	A completed Range Technical Question Set and associated evidence
3	All types	A summary of the management systems, including a safety management system, and quality management arrangements required to be established and implemented under regulation 52
4	All types	<p>The information specified in Table G in respect of the individuals in the following Prescribed Roles:</p> <ul style="list-style-type: none"> ▪ Accountable manager ▪ Range safety manager ▪ Range operations manager ▪ Security manager ▪ Training manager <p>(Note: the CAA's approval of the individual who is to be the training manager is required under regulation 61(1). A separate application for that approval must be made to the CAA under regulation 62.)</p>
5	All types	<ol style="list-style-type: none"> 1. A draft cyber security strategy, based on a cyber security risk assessment, for the proposed operation (see regulation 185 and CAP 2535) 2. The cyber security risk assessment <p>(Note: Cyber security submissions should not be submitted in the main Application Form – see paragraph 3.4)</p>
6	All types	<ol style="list-style-type: none"> 1. A draft space site security programme, based on a security risk assessment, for the proposed operation (see regulation 170)

Item Number	Type of range control licence application	Information to be attached to Application Form
		2. The security risk assessment
7	Range control applications where range control services are to be provided from a ship	Information evidencing: 1. The location of the proposed provision of range control services, 2. the flag of the ship from which those services are to be provided, 3. the nationality of the operator.

Table G**Specific Information Required of Executive Officers, Prescribed Roles, and the Board of Directors**

Item Number	Information to be attached to Application Form
1	<p><u>Identity</u></p> <p>Identity information related to:</p> <ul style="list-style-type: none"> ▪ Executive Officers ▪ Individuals who are to undertake, on behalf of the applicant, any of the Prescribed Roles <p>comprising Certified copies of one of the following documents per officer of the body corporate and individual:</p> <ul style="list-style-type: none"> ▪ Valid passport ▪ Valid full photograph driving licence ▪ Valid EC/EEA Identity Card <p><i>(Note: Where an applicant is not a body corporate but instead is partnership, or has equivalent senior executive roles in an organisation such as a government or academic institution or charity etc, the applicant should provide the information above for those individuals as far as is possible.)</i></p>
2	<p><u>Eligibility</u></p> <p>Information related to the applicant's ability to ensure that the following groups:</p> <ul style="list-style-type: none"> ▪ Executive Officers ▪ The Board of Directors ▪ Individuals who are to undertake, on behalf of the applicant, any of the Prescribed Roles <p>will be able to meet the eligibility criteria under regulation 6 of the Regulations, comprising:</p> <ol style="list-style-type: none"> 1. A description of any employment policies or processes of the applicant which set out what the applicant does regarding pre-employment checks, or checks or declarations done once the groups of individuals listed above are employed, concerning the following: <ul style="list-style-type: none"> ▪ Confirmation of an individual's identity

Item Number	Information to be attached to Application Form
	<ul style="list-style-type: none"> ▪ Whether the individual has any records of UK bankruptcy, debt relief orders, disqualification etc. of the kind set out under regulation 6(a) to 6(e) of the Regulations ▪ Whether the individual has any convictions for any offence involving fraud or dishonesty, or any indictable offence (see regulation 6(f) of the Regulations) <p>2. A declaration that the groups of individuals listed above meet the eligibility criteria under regulation 6 of the Regulations.</p> <p><i>(Note: Where an applicant is not a body corporate but instead is partnership, or has equivalent senior executive roles in an organisation such as a government or academic institution or charity etc, the applicant should provide the information above for those individuals as far as is possible.)</i></p>
3	<p><u>Fit and proper persons</u></p> <p>Information related to suitability as fit and proper persons comprising:</p> <p>1. For any of the Board of Directors of the body corporate:</p> <ul style="list-style-type: none"> ▪ A short biography of each Director, a description of the process used to appoint directors, and any criteria that directors must meet to be appointed. <p>2. For any Executive Officers and individuals who are to undertake, on behalf of the applicant, any Prescribed Roles:</p> <ul style="list-style-type: none"> ▪ A document summarising the individual's qualifications and employment history or academic career covering at least the previous five-year period, to include: <ul style="list-style-type: none"> ▪ A list of any relevant qualifications held by the individual ▪ Details of the current employment including the post held by the individual and a brief description of the responsibilities of that post ▪ A satisfactory explanation of any gaps in employment. <p><i>(Note: Where an applicant is not a body corporate but instead is partnership, or has equivalent senior executive roles in an organisation such as a government or academic institution or charity etc, the applicant should provide the information above for those individuals as far as is possible.)</i></p>