United Kingdom Civil Aviation Authority



CAA Scheme of Charges (General Aviation) No:312Publication date:17 May 2016Commencement date:01 June 2016

The Civil Aviation Authority, pursuant to Section 11 of the Civil Aviation Act 1982 and after consulting the persons who, in its opinion, are likely to be affected by the Scheme or such of those persons as it thinks fit, and after consulting with the Secretary of State, hereby makes a Scheme for determining the charges to be paid to the CAA in connection with the performance by the CAA of the following functions conferred on it by or under the Order and under the EASA Air Operations Regulation, with respect to declarations for non-commercial operations with complex motor-powered aircraft and for specialised operations:

- i) the granting of permissions and exemptions for the organisation of flying displays;
- ii) the granting of display authorisations;
- iii) the granting of exemptions relating to the dropping of articles;
- iv) the granting of exemptions and permissions in specified circumstances in relation to low flying;
- v) the granting of exemptions in relation to overtaking and landing whilst the runway is occupied;
- vi) the granting of permissions in relation to speed limitation;
- vii) the granting of permissions and exemptions for aerial work by unmanned aircraft and rockets;
- viii) the granting of approvals to UK National Qualified Entities to undertake pilot competency assessments of operators of small unmanned aircraft;
- ix) the granting of parachuting permissions;
- x) the granting of exemptions relating to the conduct of parachuting operations;
- xi) the approval of persons for the purpose of submitting reports in respect of the granting of parachuting permissions;
- xii) the submission of a declaration for non-commercial operations with complex motor-powered aircraft (Part-NCC) or specialised operations (Part-SPO);
- xiii) the granting of approvals for flight in specified weather conditions and in airspace in which specified navigation or height keeping performance is required;
- xiv) the granting of approvals for flights in poor visibility and in specified airspace;
- xv) the approval of operational procedures to conduct steep approaches;
- xvi) the granting or permissions in relation to Minimum Equipment Requirements;
- xvii) the submission and review of an Alternative Means of Compliance (AltMOC).

1 REVOCATION

- 1.1 The Scheme of Charges published by the CAA on 17 March 2016 determining the charges to be paid to the CAA in connection with the performance by the CAA of the aforesaid functions is hereby revoked.
- 1.2 Section 16(1) of the Interpretation Act 1978 (which relates to the effect of repeals) shall apply to this Scheme as if this Scheme were an enactment and as if the Scheme of 17 March 2016 revoked by paragraph 1.1 above was an enactment thereby repealed.

2 CHARGES INDEX

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3 CHARGES

3.1 Flying displays

On making an application for the grant of a Permission to organise a flying display as required under Article 162 of the Order ("Article 162 Permission"), the applicant shall pay to the CAA, a Basic Application charge of the amount specified in Column 2 of Table 1 according to the number of display items applied for as set out in Column 1 of Table 1. Following the conclusion of the event, the applicant for the permission shall pay the CAA a Post Event Charge, as set out in Table 2, where the actual flying display event that took place on a specific day exceeds 6 display items.

Column 1	Column 2	Column 3	Column 4
Number of Display Items	Full Charge	Discounted Charge (1 – 15 Days)	Discounted Charge (16 – 30 Days)
1-3	£374	£94	£187
4-6	£898	£225	£449
7 – 12	£1,350	£338	£675
13 – 18	£2,024	£506	£1,012
19 – 24	£2,994	£749	£1,497
25-30	£4,040	£1,010	£2,020
31 or more	£5,390	£1,348	£2,695

Table 1 – Basic application charge

Provided that:

- a) Where an application is made by the same Event Organiser for a permission or permissions in respect of more than one flying display event in a flying display season which is to take place at the same location, the applicant shall pay to the CAA:
 - i) in respect of the first flying display date of the season, the charge specified in Column 2; and for all subsequent dates in the same flying display season:
 - ii) the charge specified in Column 3 of Table 1 if the event is to take place within fifteen days of the previous event date;
 - ii) the charge specified in Column 4 of Table 1 if the event is to take place within thirty days of the previous event.
- b) In addition to a) above, in respect of each flying display season, where any application is made for a permission or permissions in respect of more than one flying display event within that flying display season being at the same location and made by the same Event Organiser, the total charge to be paid by the applicant to the CAA shall be calculated in respect of each display date in accordance with Column 2, 3 or 4 of Table 1 but reduced by 10% for all dates excepting the first date applied for in that flying display season.

The Event Organiser shall pay to the CAA a charge in accordance with Table 2 as invoiced by the CAA and payable on demand.

Table 2 – Post Event Charge per day

Column 1	Column 2
Number of Display Items	Charge
1-3	N/A
4-6	N/A
7 – 12	£250
13 – 18	£450
19 – 24	£2,000
25-30	£5,000
31 or more	£7,500

Provided that:

- c) In respect of each day that a flying display took place under an Article 162 Permission, the Event Organiser must declare to the CAA within 10 days of that event the actual number of flying display items that took place.
- d) If a declaration has not been received within 10 working days of the event taking place, the CAA will invoice the Event Organiser the appropriate Post Event Charge on the basis of the details contained within the Article 162 Permission that relates to that event date.

3.2 **Pilot display authorisations**

On making an application for the grant of:

- a) a pilot display authorisation issued under Article 162 of the Order, the applicant shall pay to the CAA a charge of £298;
- b) a variation to an existing pilot display authorisation, the applicant shall pay to the CAA a charge of \pounds 130; and
- c) an exemption from the need to hold a pilot display authorisation, the applicant shall pay to the CAA a charge of £224.

NOTE 1: Sub-paragraphs 3.2 a), b) and c) above are applicable to an individual pilot.

3.3 **Exemptions in relation to dropping of articles**

a) Subject to sub-paragraph b), on making an application for an exemption from Article 129 of the Order, the charges referred to in Table 3 shall be payable to the CAA:

Table 3:

Ref	Type of Exemption		
Dropping of articles 1 as part of an aircraft race or contest	In respect of each application for a single Event (regardless of the number of aircraft involved)	£112	
		In respect of each application for a single flight attending more than one Event	£224
2	Dropping of balloon competition markers		£112
3	Towing, picking up, raising and lowering or dropping of articles by helicopters in an aircraft race or contest, a flying display or other special event.		£112

b) Where application is made for an exemption for the purpose of dropping flower petals at a remembrance ceremony only, or for the scattering of ashes, no charge shall be payable to the CAA by virtue of this sub-paragraph.

3.4 **Exemptions and permissions in relation to low flying**

 a) On making an application for a permission in respect of any flight referred to in Column 2 of Table 4, the applicant shall pay to the CAA the charge referred to in Column 5 (according to the number of required locations in Column 4, where relevant).

Table 4:

Ref.	Type of Exemption	Circumstances of Flight	Number of required locations	Charge
Col. 1	Column 2	Column 3	Column 4	Col. 5
1	For a permission under SERA.5005(f)(1) (flight below 1,000 feet above the highest fixed object within	In respect of applications where the number of locations is three or less	£224	
600 metres of the aircraft) in respect of any flight which is: [see Column 3]	special Event; or a take off by a manned balloon in a congested area.	In respect of applications where the number of locations is four or more	£448	
2	For a permission under SERA.5005(f)(2) (flight closer than 500 feet to any person, vessel, vehicle or structure) in respect of an	where three or less aircraft or Display Items are participating;	N/A	£224
	aircraft race or contest, a flying display, filming of an aircraft, air to ground photography or other special event or a long term exemption for the purposes of display practice	where four or more aircraft or Display Items are participating	N/A	£448
3	For a permission under SERA in respect of an aerial work flight or specialised operation where the aircraft is operated other than by the holder of an air operator certificate or aerial application certificate	N/A	N/A	£224

3.5 **Exemptions in relation to overtaking**

On making an application for an exemption from SERA.3210(c)(3) (the overtaking rule) in respect of an aircraft race or contest, the applicant shall pay to the CAA a charge of £112.

3.6 **Exemptions in relation to landing whilst the runway is occupied**

On making an application for an exemption from Rule 10 of the Rules of the Air Regulations 2015 (landing and take-off) to allow landings when the runway is not clear of aircraft, the applicant shall pay to the CAA a charge of £112.

NOTE 2: Table 2 Refs. 2 and 3 and paragraphs 3.4, 3.5 and 3.6 are applicable to individual aircraft or to a number of aircraft at a specific event.

3.7 **Exemption and approval in relation to speed limitation**

On making an application for an exemption from Rule 22 of the Rules of the Air Regulations 2015 and approval under SERA.6001 in respect of a flight in excess of 250 knots below 10,000 feet, the applicant shall pay to the CAA:

- a) in the case of an application in respect of a single aircraft, a charge of £112; or
- b) in the case of an application in respect of two or more aircraft, a charge of £224.

3.8 **Permissions and Exemptions in relation to aerial work**

- 3.8.1 On making an application for a permission under Article 168(7) of the Order for aerial work involving small rockets, the applicant shall pay to the CAA a charge of £112.
- 3.8.2 On making an application for a permission under Article 166(5) of the Order to conduct aerial work involving a small unmanned aircraft, the applicant shall pay to the CAA the charge appropriate to the aircraft weight as shown in Table 5:

Table 5:

Aircraft Weight Category	Initial Application Charge	Renewal Application Charge	
7 kg or less	£112	£56	
greater than 7 kg – 20 kg	£224	£112	

3.8.3 On making an application for an exemption under Article 242 of the Order to conduct aerial work involving an unmanned aircraft greater than 20 kg, the applicant shall pay to the CAA the charge appropriate to the aircraft weight as shown in Table 6:

Table 6:

Aircraft Weight Category	Initial Application Charge	Renewal Application Charge
greater than 20 kg – 150 kg	£416	£208
greater than 150 kg*	£416*	£208*

* With regard to those aircraft of weight in excess of 150 kg, where the work involved for either the initial or the renewal applications exceeds 4 hours then the time taken in excess of 4 hours shall be charged at £166 per hour to the applicant.

3.9 UK National Qualified Entities (NQE) in relation to small unmanned aircraft

3.9.1 On making an application for the initial grant or variation of a UK NQE to undertake pilot competency assessments of operators of small unmanned aircraft having a mass of not more than 20 kgs (excluding fuel), the applicant shall pay to the CAA the charge appropriate to the UK NQE category required as shown in Table 7:

Table 7:

UK NQE Category	Initial application	Variation	Continuation charge
Full	£1,290	£129	£602
Restricted	£430	£129	£304
Conversion:			
Restricted to Full	£860	N/A	N/A
Full to Restricted	£129	N/A	N/A

3.9.2 The appropriate continuation charge, as specified in Table 7, is payable by each UK NQE holder initially on 1 June 2016 and thereafter on an annual basis commencing 1 April 2017, to be invoiced by the CAA payable on demand.

3.9.3 On making an application to convert an existing UK NQE from either a Full Category to a Restricted Category or vice versa, the appropriate charge as specified in Table 7 shall be payable to the CAA.

3.10 Parachuting – grant, renewal and variation charges

3.10.1 Subject to Notes 3, 4 and 5, on making an application for the grant, renewal or variation of a parachuting permission and any related exemption being an application which does not relate to unusual parachuting activity, the applicant shall pay to the CAA the charge referred to in Column 3 of Table 8 depending on the nature of the applicant referred to in Column 1.

Table 8

Approval to be granted Application Type		Charge
Column 1	Column 1 Column 2	
	Grant	£8,538
For a club, centre, school or	Renewal	£8,538
other organisation permission, not related to unusual parachuting activity	Where the CAA determines that a full investigation is not necessary for the purpose of satisfying the CAA of the applicant's competency	£2,846
For a display team or a trials	Grant	£488
team permission, not related to unusual parachuting activity	Renewal	£488
For any applicant	Variation of a Permission	£47
For any applicant	Variation of an Exemption	£47

- **NOTE 3:** Where the CAA determines that a full investigation is not necessary for the purpose of satisfying itself of the applicant's competency, a charge of £2,790.
- **NOTE 4:** Permissions and/or exemptions will normally be valid for a period not exceeding 12 months, untilandincluding31Marchinanyyear, with the exception that special parachuting permissions and/or exemptions will normally be valid for a period not exceeding 28 days.
- **NOTE 5:** No charge is payable to the CAA in respect of an application described in Table 8 above which is supported by a recommendation by an approved person.

3.11 Parachuting – grant, renewal and variation charges for a special permission or exemption

- 3.11.1 Subject to paragraphs 3.11.2 and 3.11.3 on making an application for the grant or renewal of a special parachuting permission and/ or related exemption, the applicant shall pay to the CAA an initial charge of £488.
- 3.11.2 Subject to paragraph 3.11.4, in addition to the charge payable under paragraph 3.11.1, the applicant shall pay to the CAA (for the investigations required by the CAA in connection with an application for a special parachuting permission) a charge of such amount as may be decided and invoiced by the CAA having regard, in accordance with paragraph 3.11.3, to the expense incurred by it in making the investigations but not exceeding £18,654 for any year, or part of the year, during which the investigations are carried out. The charge is payable on demand.

- 3.11.3 For the purpose of paragraph 3.11.2, the CAA shall determine the expense incurred by it in making the investigations therein referred to by taking the number of man hours required to complete the investigation and multiplying that number by £94.
- 3.11.4 On making an application for the variation of a parachuting permission and/or exemption, being an application which relates to unusual parachuting activity, the applicant shall pay to the CAA the charge specified in paragraph 3.11.1 in respect of an application for a special parachuting permission.

3.12 **Parachuting – approval of persons**

- 3.12.1 On making an application for the grant of an approval of a person authorised to make recommendations to the CAA in respect of applications for the grant or renewal of parachuting permissions, the applicant shall pay to the CAA a charge of £27,735.
- 3.12.2 In respect of the investigations required by the CAA for the purposes of satisfying itself that such an approval should remain in force for a period of 12 months commencing 1 April in any year, the holder of the approval shall pay to the CAA on 1 April in that year, a charge of £27,735.

3.13 Declaration – non-commercial operations with complex motor-powered aircraft (Part-NCC) and in non-commercial specialised operations (Part-SPO)

Operators of complex motor-powered aircraft, involved in non-commercial operations, submitting an initial declaration of their capability and means to discharge their responsibilities for the operation of such aircraft in accordance with Part-NCC, or where specialised operations, in accordance with Part-SPO, are being conducted pursuant to EASA Air Operations Regulation, or a change to the initial or to a subsequent declaration, shall pay to the CAA upon submission of the change or declaration, as appropriate, to the CAA a charge per aircraft within an initial Part-NCC and within an initial Part-SPO declaration and one charge for all changes requested within a change declaration regardless of whether Part-NCC or Part-SPO included, as specified in Table 9.

Table 9

SubmissionType	Charge
Initial declaration per aircraft	£112
Change to declaration per application	£81

3.14 Approval for flights in poor visibility and in specified airspace

3.14.1 All Weather Operations (AWOPS) or Enhanced Visual Systems (EVS) Operations

On making an application for the grant or renewal of a three-year approval under Article 109(2) of the Order for non-public transport operators to undertake operations to Category II and III minima, the applicant shall pay to the CAA a charge as specified in Table 10.

Table 10

ApplicationType	Charge
Initial grant	£6,277
Renewal	£699

3.14.2 North Atlantic - Minimum Navigation Performance Specification (MNPS)

On making an application for the grant or renewal of a three-year approval under Article 121(2) of the Order of the equipment required by UK-registered aircraft flying otherwise than under and in accordance with an air operator's certificate granted by the CAA to the operator, to fly in North Atlantic Minimum Navigation Performance Specification airspace, its installation, maintenance and related operating procedures, the applicant shall pay to the CAA a charge of £699.

3.14.3 Reduced Vertical Separation Minimum (RVSM)

On making an application for the grant or renewal of a three-year approval under Article 122(2) of the Order of the equipment required by UK-registered aircraft flying otherwise than under and in accordance with an air operator's certificate granted by the CAA to the operator, to fly in notified Reduced Vertical Separation Minimum airspace, its installation, maintenance and related operating procedures, the applicant shall pay to the CAA a charge of £2,774.

3.14.4 Required Navigation Performance airspace – RNAV 10 / RNP-10 or P-RNAV Approval

On making an application for the grant or renewal of a three-year approval under Article 124(2) of the Order of the equipment required by UK-registered aircraft flying otherwise than under and in accordance with an air operator's certificate granted by the CAA to the operator, to fly in notified Required Navigation Performance airspace, its installation, maintenance and related operating procedures, the applicant shall pay to the CAA a charge as specified in Table 11.

Table 11

ApprovalType	AircraftType	ApplicationType	Charge
RNAV-10 / RNP-10	All	Initial grant Renewal	£699 £699
P-RNAV	Complex motor- powered	Initial grant	£3,936
	aircraft	Renewal	£699
	Non-complex motor-	Initial grant	£333
	powered aircraft	Renewal	£83

NOTE 6: P-RNAV approvals are categorised between complex motor-powered aircraft and non-complex motor-powered aircraft. The definition of complex motor-powered aircraft is stated in Article 3 (j) of the Basic EASA Regulation.

3.15 Approval of steep approach procedures

On making an application specified in Table 12 for a three-year approval of operational procedures to conduct steep approaches at an aerodrome where an approval for such approaches is required from the CAA by the aerodrome licence or EASA aerodrome certificate, the applicant shall pay to the CAA a charge in accordance with that Table.

Table 12

ApplicationType	Charge
Initial grant	£6,277
Renewal	£699

3.16 Safety Standards Acknowledgement & Consent (SSAC) operations

On making an application to grant approval to undertake SSAC operations and to exempt those SSAC operations from Article 23 (1) (b) of the Order to allow passengers to undertake experience flights, the applicant shall pay to the CAA a charge of £3,360.

3.17 **Permissions in relation to Minimum Equipment Requirements**

- 3.17.1 On making an application for a permission under Article 41(3) of the Order to commence a flight notwithstanding that any specified item of equipment required by or under the Order in the circumstances of the intended flight is not carried or is not in a fit condition for use, the applicant shall pay to the CAA a charge of £4,768 for the initial issue with a validity of three years and a charge of £1,733 upon renewal.
- 3.17.2 On making an application where a Minimum Equipment List (MEL), in relation to a specific aircraft denoted by its manufacturer's serial number, is already approved by the

CAA for AOC operations, is to be utilised without change, apart from the operator's name, by another operator for non-AOC operations, the applicant shall pay to the CAA a charge of £509 for an initial issue with a validity of three years and a charge of £170 upon renewal.

- **NOTE 7:** In respect of sub-paragraph 3.17.2, it is required that the operational (O) and maintenance (M) procedures and non-essential airworthiness item controls of the AOC operator will align at all times with the new applicant operator's O&M procedures for the non-AOC operations.
- **NOTE 8:** In respect of sub-paragraph 3.17.2, where any change of subsequent change to the MEL requires further investigation then the existing full charge would become payable.

3.18 Alternative Means of Compliance

When making an application for the CAA to review an Alternative Means of Compliance (AltMOC) for an organisation or person under ARO.GEN.120 (d) of the EASA Air Operations Regulation that would allow the establishment of compliance with the EASA Air Operations Regulation, the applicant shall pay to the CAA a charge of £474. Should the CAA review exceed three hours, the applicant shall pay to the CAA additional charges of £170 per hour for the excess hours incurred or part thereof. These additional charges shall be determined and invoiced by the CAA having regard to the expense thereby incurred, but not exceeding £9,870 in any year or part of a year in which the investigations are carried out. All charges (other than the charge payable on application) are payable on demand.

3.19 Copies of documents

On making an application for the issue by the CAA of a copy or replacement of any document mentioned in this Scheme and issued under Parts 14 to 22 of the Order, SERA (or the Basic EASA Regulation relating to SERA) or the Rules of the Air Regulations 2015, the applicant shall pay to the CAA a charge of £22.

3.20 Additional charge where functions are performed abroad

An additional charge will be payable where, in connection with any function in respect of which a charge is specified in this Scheme, the CAA deems it necessary for an employee of the CAA or any other person appointed to act on behalf of the CAA to travel outside of the country in which such person is normally stationed

The applicant or holder shall pay the CAA on demand, in addition to the appropriate charge specified in this Scheme, a charge of such amount as may be decided and invoiced by the CAA having regard to the expense thereby incurred by it.

The additional charge shall not exceed, for each employee of the CAA or each person appointed to act on behalf of the CAA, a maximum of £50,000 per function in respect of which a charge is specified in the Scheme (£13,090 per week), during which each such Member or employee or any other person appointed to act on behalf of the CAA is absent from the country in which he is normally stationed. The charge is payable on demand.

For the purpose of this section the United Kingdom, the Isle of Man and the Channel Islands shall be treated as one country.

4 DEFINITIONS

- 4.1 For the purposes of this Scheme: 4.1 For the purposes of this Scheme:
 - a) 'Basic EASA Regulation' means Commission Regulation (EC) No. 216/2008 on common rules in the field of civil aviation as amended from time to time.
 - b) 'Complex motor-powered aircraft' has the same meaning as in Article 3(j) of the Basic EASA Regulation.

- c) 'Display item' means a single aircraft, or formation of aircraft, flying as one display 'act'.
- d) 'EASA Air Operations Regulation' means Commission Regulation (EU) No 965/2015 of 5 October 2012 laying down technical requirements and administrative procedures relating to air operations pursuant to Regulation (EC) No 216/2008 of the European Parliament and of the Council (as amended from time to time);
- e) 'Event' means a flying display taking place at a single location on a single day.
- f) 'The Order' means the Air Navigation Order 2009 and any reference to an Article or Part of that Order shall, if that order be amended or revoked, be taken to be a reference to the corresponding provisions of the Order for the time being in force.
- g) 'Small unmanned aircraft' has the meaning as set out in Article 255(1) of the Order.
- h) A special parachuting permission' means a parachuting permission which relates to unusual parachuting activity.
- 'Unusual parachuting activity' means parachuting NOT conducted in accordance with the British Parachute Association's manual and procedures. This includes high altitude jumps, stand-off and any other activity which the CAA considers in the particular case to be an unusual parachuting activity.
- j) 'Part-NCC' means Annex VI of the EASA Air Operations Regulation.
- k) 'Part-SPO' means Annex VIII of the EASA Air Operations Regulations.
- SERA' means the Annex to Commission Implementing Regulation (EU) No 923/2012 of 26 September 2012 laying down the common rules of the air and operational provisions regarding services and procedures in air navigation and amending Implementing Regulation (EU) No 1035/2011 and Regulations (EC) No 1265/2007, (EC) No 1794/2006, (EC) No 730/2006, (EC) No 1033/2006 and (EU) No 255/2010.
- 4.2 All other expressions used in this Scheme shall, unless the context otherwise requires, have the same respective meanings as in the Order or in the Basic EASA Regulation or in the EASA Air Operations Regulation, as appropriate. Where terms defined in the Order or in the Basic EASA Regulation and used in this Scheme are amended, they shall have the meaning as so amended.
 - **NOTE 9:** Charges for approvals in the circumstances referred to in paragraphs 3.14.2, 3.14.3 and 3.14.4 which are required by aircraft flying under and in accordance with an air operator's certificate granted by the CAA to the operator are included (though not separately identified) in the AOC Scheme of Charges.
 - **NOTE 10:** Certain terms used in the current Order will be amended in 2016 to reflect the terminology used in the EASA Air Operations Regulation, for example "aerial work" will be referred to as "commercial operations". References in this Scheme to "aerial work" will be deemed to mean a "commercial operation" once such change to the Order becomes effective.
- 4.3 References to the CAA mean the Civil Aviation Authority.

5 COMMENCEMENT

This Scheme will come into operation on 1 June 2016.

⁽This note is not part of the Scheme.)

Reference to the CAA Refund Policy may be made at <u>www.caa.co.uk/ors5</u>

The latest version of this document is available in electronic format at <u>www.caa.co.uk/ors5</u>, where you may also register for e-mail notification of amendments. Details for purchasing paper copy can be found at the same web address.