

# APPLICATION FOR MOR MONTHLY LISTINGS AND REQUEST FOR FUTURE ACCESS TO CAA OCCURRENCE DATABASE.



This form should be completed on-line before being submitted as instructed. If you submit by email a copy will be sent back to you.

Your company must be an existing subscriber to our Publications and Newsletters e-mail notification service (see [www.caa.co.uk/subscriptions](http://www.caa.co.uk/subscriptions)) because the email address you provide in Section 1 below\* must be the same as that used to log on to the service

UK Regulation 376/2014 on the reporting, analysis and follow-up of occurrences in civil aviation aims to improve aviation safety by ensuring that relevant safety information relating to civil aviation is reported, collected, stored, protected, exchanged, disseminated and analysed. The information collected should be adequately protected from unauthorised use or disclosure. It should be used strictly for the purpose of maintaining or improving aviation safety and should not be used to attribute blame or liability

Article 15(2) of the Regulation states that information derived from occurrence reports shall be used only for the purpose for which it has been collected. Member States, the Agency (UK CAA) and organisations shall not make available or use the information on occurrences in order to attribute blame or liability; or for any purpose other than the maintenance or improvement of aviation safety.

If you wish to have access to the information described above for the purpose of the maintenance or improvement of aviation safety, please explain what data you would like and how you would use it for safety purposes by completing all sections of the form overleaf. Additional supporting information may be attached to your application if there is insufficient space on the form.

## 1 SECTION 1: APPLICANT DETAILS

Title: .....	Surname: .....	Forename(s): .....
Position / Job Title (if applicable): .....		
Company Name: .....		
Company Address (in full): .....		
.....		Postcode: .....
Telephone: .....	Fax: .....	
* Subscriber Email: .....		

## 2 ORGANISATION CATEGORY (tick all that apply)

The Categories of Persons Required to Report in accordance with UK Regulation 376/2014.

Category	Approval Number
Air Navigation Service Provider	
Ground Handling Organisation	
Manufacturer/Designer	
Operator/Commander of UK-Registered Aircraft	
Licensed Aerodrome	
Maintenance Organisation	
Other: Please specify e.g. Flying Club, Owner including any approval number(s) and how you report under UK Regulation 376/2014.	

**3 LISTINGS SUBJECTS (tick all required)**

Fixed-wing aircraft 27,001 kg and above

Fixed-wing aircraft 27,000 kg and below

Rotary-wing aircraft

ATC

Grade E reports

Follow-up action on Occurrence Reports

General Aviation Occurrence Reports

Reason for requesting monthly MOR listings:

**4. DECLARATION FOR MOR LISTINGS**

I hereby declare that to the best of my knowledge and belief, the particulars stated above are true in every respect.

Note: If you are returning the form as an email attachment, a scanned signature will be acceptable.

Signed: ..... Date: .....

Full Name: .....

# REQUEST FOR FUTURE ACCESS TO CAA OCCURRENCE DATABASE.



This form should be completed on-line before being submitted as instructed. If you submit by email a copy will be sent back to you.

## 1 BACKGROUND

### Legal Framework

Occurrence reporting is mandated by Regulation (UK) No 376/2014 of the European Parliament and of the Council of 3 April 2014 on the reporting, analysis and follow-up of occurrences in civil aviation.

### Objective

The objective of the Regulation is to contribute to the improvement of air safety by ensuring that relevant information on safety is reported, collected, stored, protected and disseminated. The sole objective of occurrence reporting is the prevention of accidents and incidents and not to attribute blame or liability. Safety information should be available to the people who may learn from it and take or initiate the necessary action to improve safety.

### The Database

Occurrence reports are submitted to the Civil Aviation Authority in accordance with Regulation UK 376/2014 and are stored in a national database (the Database) in accordance with Article 6. Availability of this will be announced through an Information Notice in due course.

### Dissemination of occurrence information

The CAA considers that it will assist the achievement of the objectives of the Regulation if organisations submitting mandatory occurrence reports (MOR) are given access to the MOR Database rather than supplied with periodic reports. This will enable them to carry out their own analysis of occurrences relevant to their particular operations. This access shall be provided to person(s) nominated by the reporting organisation, who undertake to adhere to this agreement.

### Confidentiality

The Regulation states that the sensitive nature of safety information is such that the way to ensure its collection is by guaranteeing its confidentiality, the protection of its source and the confidence of the personnel working in civil aviation. For this reason, persons given access to the Database are required to give an undertaking as to the use they will make of any information obtained from the Database.

### Permitted uses

Information obtained from the Database may only be used for the purposes of flight safety and not for other purposes including attributing blame, liability or for commercial purposes. Information obtained from the database is not permitted to be disclosed outside the organisation accessing the data without the written consent of the CAA.

## 2 DECLARATION FOR ACCESS TO THE CAA OCCURRENCE DATABASE

I hereby declare that to the best of my knowledge and belief, the particulars stated above are true in every respect.

Note: If you are returning the form as an email attachment, a scanned signature will be acceptable.

Signed: ..... Date: .....

Full Name: .....

## 3 SUBMISSION

Please return the form using one of the methods below, with the following order of preference:

1. Email: mor.listings@caa.co.uk
2. Fax: +44 (0) 1293 573972
3. Post to: Safety Data (MOR Listings), Civil Aviation Authority, Safety and Airspace Regulation Group, Aviation House, Gatwick Airport, South, West Sussex, RH6 0YR

**The CAA will limit access to only those organisations that fall under the Categories of Persons Required to Report in accordance with CAP 382 and to other appropriate organisations on a case-by-case basis.**

## 4 For CAA Use Only

Pursuant to UK Regulation 376/2014. the Civil Aviation Authority has:

Granted access to requested Listings with confirmation sent  
Denied access to requested Listings with confirmation sent  
Granted access to CAA Occurrence Database with confirmation sent  
Denied access to CAA Occurrence Database with confirmation sent

Signed: ..... Date: .....

Full Name: .....