

Application to Carry Class 1 Dangerous Goods

Please read the included guidance notes before completing and ensure all required documents from the checklist in Appendix 1 are provided with the application. Submission instructions can be found within the form.



1. APPLICANT TYPE			
Individual	Complete Section 2. a)	Charity	Complete Section 2. c)
Partnership	Complete Section 2. a)	Ministry of Defence	Complete Section 2. c)
Private Clubs	All members complete Section 2. a)	Trust	Complete Section 2. c)
Limited Liability Partnership	Complete Section 2. b)	Public Educational	Complete Section 2. c)
Limited Company	Complete Section 2. b)	Establishment (University/College)	

2. APPLICANT DETAILS (The Applicant is the person responsible for payment of CAA charges)	
a) Individual (including sole traders and partnerships)	
<p>Title: Forename: Surname:</p> <p>Address: Postcode:</p> <p>Telephone: Mobile Telephone:</p> <p>E-mail:</p> <p>Trading Name: (if applicable)</p> <p>Website address:</p> <p>In the case of a partnership, please complete details of all partners. Continued on a separate sheet</p>	
or b) A Company	
<p>Registered Company Name (in full):</p> <p>Registered Company Number:</p> <p>Country of Company Registration:</p> <p>Registered Office Address: Postcode:</p> <p>Telephone:</p> <p>E-mail:</p> <p>Trading Name: (if applicable)</p> <p>Trading Address (primary site): Postcode:</p> <p>Website address:</p>	
<p>Authorised Representative of Company</p> <p>This application is to be signed by either a Director or Company Secretary or a person authorised by the Board to act on behalf of the Company.</p> <p>Title: Forename: Surname:</p> <p>Position in Company:</p> <p>Telephone No: E-mail:</p> <p>If you are not a Director or Company Secretary and have been authorised to sign the application form on behalf of the Company, proof of that authority must be provided with the completed application form.</p>	
<p>This application will be considered in respect of and, if appropriate, granted to, the Company Name as registered under the Company Number provided on this form.</p>	

or c) An Unincorporated Association or other body

Name of Unincorporated Association or other body:

Address:

..... Postcode:

Telephone:

Email: Mobile Telephone:

Website address:

Authorised Representative

This application is to be signed by a person authorised by the body named above to act on behalf of it.

Title: Forename: Surname:

Position:

Charity Number (if applicable):

3. OPERATOR DETAILS

Aircraft operator (full legal name):

UK CAA AOC No. (if applicable):

DG Approval held? YES NO

UK TCO Approval held? YES NO

UK TCO Reference No.: Date of Issue and Validity period:

4. FLIGHT DETAILS

Operation Type (UK only): CAT SPO NCO NCC ANO

Date of Flight:

Flight No.:

Aircraft Type(s)	Aircraft Registration(s)	Aircraft Serial Number(s)

Shipper:

Consignee:

Airport of Departure:

Flight plan route over national territory "estimated":

Specific Loading Point at Departure Airport (UK only*):

.....

Airport of Destination:

Specific Unloading Point at Departure Airport (UK only*):

.....

Other Airports (Technical Stops):

.....

Specific Refuelling Point at Technical Stop(s) (UK only*):

.....

* Written confirmation is required from UK airport detailing specific location to be used.

5. a) Reason for requesting the exemption (mark at least one of the following options)

a) extreme urgency

Humanitarian Relief	Environmental Relief	Pestilence	National or International Security	Saving of Life (e.g. rescue)	Limited Availability at Destination
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b) other forms of transport are inappropriate:

Length of Journey ¹	Infrastructure ²	Security ³	Routing ⁴
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c) full compliance with the Technical Instruction is contrary to the public interest:

Medical Applications	New Technologies	Enhancements in Safety
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d) reason other than a), b) c) above

Explanation for (a), (b), (c) or (d) above (this must be completed in order for the application to be processed):

5. b) Description of measures aimed at achieving an overall level of safety in transport which is at least Equivalent to the level of safety provided for in the ICAO Technical Instructions

B1/ Additional measures (if any) presented by the applicant ⁵. The air operator must have conducted a specific risk assessment in accordance with ICAO Annex 6 Chapter 15 and Part 5;1.7 of the ICAO Technical Instructions

¹ for example: transport by other forms may result in an unrealistic journey time and could affect the viability of the dangerous goods

² for example: the availability of other forms of transport may be limited

³ for example: the comprehensive security provisions of the air mode may reduce the possibility of unlawful interference (theft, etc.)

⁴ for example: transport by air may result in a reduced risk of exposure of the public to the dangerous goods in the event of an incident or accident. The risk of piracy may also be significantly reduced

⁵ for example : no dangerous goods carried on board other than those subject to the present exemption

6. Identification of dangerous goods requiring exemption

UN No	Proper shipping name	Class/ Division/ Compatibility Group	Sub hazard	Packing group (if applicable)	Number of packages	Net quantity per package (Kg or L)	Gross quantity per package (Kg or L)	Net explosive quantity per package (Kg)	Packing instruction	Packaging test certificate reference	Classification certificate reference (ADR/HSE/DOME)

Total net explosive quantity (kg):

7. Identification of dangerous goods to be carried (if any) other than those requiring exemption

UN No	Proper shipping name	Class/ Division/ Compatibility Group	Sub hazard	Packing group (if applicable)	Number of packages	Net quantity or Gross quantity per package (Kg or L)	Packing inst.	Packaging test certificate reference (when applicable)	

Note: any approvals or authorisations that may be required for the dangerous goods identified in this table are not covered by the present exemption.

8. Description of the method for separating packages containing explosives with different with different compatibility groups (if applicable)

9. CHARGES

Where charges are to be paid other than by the applicant, please enter the name of the person/company who is paying:

.....

IMPORTANT NOTES:

- **Additional Charges:** Where the cost of the CAA investigations exceeds the application charge payable, the applicant shall pay additional charges to recover those excess costs incurred by the CAA in accordance with the Scheme of Charges.
- **Overseas Visits:** If a Member or employee of the CAA is required to travel overseas in respect of this application you are advised to read the CAA Scheme of Charges to which this application relates and the section entitled 'Additional charge where functions are performed abroad'. All expenses incurred in pursuance of this application by virtue of travelling overseas will be payable by the applicant on demand.
- **Withdrawal/Cancellation of Application:** In the event that this application is withdrawn by the applicant, a cancellation charge may be levied. The cancellation charge reflects the work carried out by the CAA on behalf of the applicant up to the point of cancellation. Please see the CAA Refunds Policy at www.caa.co.uk/refunds for more information. Where sufficient funds remain from the original application charge, this charge will be deducted from any refund made in respect of the application following cancellation.

NB: This application will not be processed until the applicable charges have been received.

10. FINANCIAL DECLARATION

I hereby declare that to the best of my knowledge the particulars entered on this application are accurate.

I agree to pay the charges for this application in accordance with the Scheme of Charges.

I agree to pay any additional charges which may become payable in respect of this application under the Scheme of Charges.

Name of Applicant:
(as shown in 2 a), 2 b) or 2 c))

Signature of Applicant (named in 2 a)):

or Signature of Authorised Representative (named in 2 b) or 2 c)):

Date:

FALSE REPRESENTATION STATEMENT

It is an offence under the UK Air Navigation Order to make, with intent to deceive, any false representation for the purpose of procuring the grant, issue, renewal or variation of any certificate, license, approval, permission or other document. This offence is punishable on summary conviction by a fine, and on conviction on indictment with an unlimited fine or imprisonment or both.

11. APPLICATION FORM SUBMISSION SERVICE (SUBMIT)

Once you have completed your application form, please save a copy to your device. Click on the button below to submit your application and supporting documentation (if applicable). You will be required to upload a copy of the completed application form as part of the submission.

The button will direct you to the CAA Customer Portal. The first time you access the CAA Customer Portal you will need to create a user account, there are instructions provided and it only takes a few minutes to register. If you have used the CAA Customer Portal before, please log in to your existing user account.

After receipt of your application, we will contact you using the contact details provided on this form to request payment of the relevant application fee. Please indicate your preferred payment method:

☐ **Email** (you will receive a secure payment link from 'noreply@payments.caa.co.uk')

☐ **SMS** (you will receive a secure payment link from 'CAA PAYMENTS')

Please note: Your application will not be processed until you have submitted it via the CAA Customer Portal and provided the supporting documentation (if applicable).

The charge(s) required will be calculated in accordance with the current CAA Scheme of charges [List of Official Record Series 5 - Scheme of Charges \(caa.co.uk\)](#)

Important: Please save your completed form before proceeding.

Application Form Submission Service

If you prefer, you can access the service by logging onto the CAA Customer Portal via <https://portal.caa.co.uk> and selecting the Application Form Submission Service.

Application to Carry Class 1 Dangerous Goods – GUIDANCE NOTES

NOTE 1: General

Before completing this form applicants are advised to read the further information relating to this application process, available on the CAA website.

NOTE 2: Applicability

This form only applies to those requests to carry Class 1 dangerous goods where the ICAO Technical Instructions indicate that they may only be carried with a prior approval or an exemption, or where they do not comply with the normal requirements of the Technical Instructions.

NOTE 3: Timescale

Application for an approval or exemption should be made at least 10 working days before the date of the flight on which the dangerous goods are to be carried.

NOTE 4: Completeness

The application and supplementary documentation detailed within Appendix 1 should be completed and submitted in full. Failure to do so may result in its return for re-submission or a delay in the processing of the application. If there is insufficient space to list all items, they can be listed on a separate sheet.

NOTE 5: Explosives

National regulations require that when shipping any civilian explosive to, from or within the UK it must have been assigned a classification by the Competent Authority of a Contracting Party to the European Agreement Concerning the International Carriage of Dangerous Goods by Road (ADR) such as the UK Health and Safety Executive. Military explosives, i.e. those under the control of and/or relating to the execution of contracts for the Secretary of State for Defence must have been assigned a classification by the Defence Ordnance (Munitions & Explosives) (DOME) Safety Regulator (DOSR). This application form must show the relevant ADR or DOME classification number for each entry in Section 6 above, or an explanation as to why such classification is not required. For UK operators carrying Class 1 dangerous goods where flight is wholly outside of the UK and no ADR or DOME classification document exists, a copy of the classification document from the Competent Authority of the State of Origin is required.

NOTE 6: Munitions of War

If the items to be carried also meet the definition of a 'munition of war' described in Article 136(a) of the Air Navigation Order 2009, the operator must hold an approval/permission to carry munitions of war granted by the UK CAA. Applications for such an approval/permission can be made by completing form SRG 2813 or SRG 2814 as appropriate.

NOTE 7: Flights not Originating in the UK

For flights not originating in the UK, a copy of the exemption granted by the State of Origin must be provided to the Dangerous Goods, Flight Operations before the UK exemption can be granted. This should be sent at the same time as this application form where possible, it may otherwise delay the granting of the UK exemption.

NOTE 8: Applicants other than Operators

If the person/company shown in Section 2 is not the operator of the aircraft, then confirmation in writing that the operator agrees for that person/company to act on their behalf must be provided with this application.

APPENDIX I

UK Operator	Third Country Operator	Applicant Documents Checklist	Added Comment
✓	✓	Fully completed SRG2804 form	<ul style="list-style-type: none"> • Ensure all required information is completed • At section 6 of the application form, Class/Division must be listed • UN Packaging Specification Marking must be listed and the markings with ** should be replaced with the year of manufacture (Quote from the ICAO Technical Instructions and IATA DGR) <i>“The shipper is responsible to ensure that the Dangerous Goods are properly identified, classified, packed, marked, labelled, documented and be in the condition for transport in accordance with the regulations”.</i> ADR/HSE/DOME classification number must be listed
✓		Confirm if the flight is conducted under CAT/NCC/NCO/SPO or ANO	
✓	✓	Justification for this consignment	
✓	✓	Risk Assessment with mitigations and matrix key	
✓	✓	CAD (Competent Authority Document) HSE/DOME/ADR Classification document	
✓	✓	If consignment is also classed as Munitions of War (MoW), evidence must be provided that an UK CAA MoW Approval/Permission is held.	If not, a separate application will be required and must be applied for through the CAA Customer Portal.
	✓	Operator AOC and Ops Specs	
✓	✓	Certificate of Packaging	
	✓	UK TCO authorisation	
✓	✓	UK Airport loading / unloading authorisation letter	
✓	✓	Air Waybill (AWB)	
✓	✓	Dangerous Goods Transport Document (Shipper's Declaration)	Completed in full and including AWB number and transport details. Ensure compliance with State Variation GB 8.
✓	✓	End User Certificate	
	✓	Insurance Certificate	
	✓	State of Operator Exemption	ICAO TIs Supplement part S 1;1.3.5 states that <i>“where an exemption or approval is required from more than one State, it is usually most appropriate for the State of Origin to grant the initial exemption because it may have greater awareness of the shipper and the terms and conditions under which the dangerous goods will be shipped. However, there may be circumstances where another State concerned might be better placed to grant the initial exemption”.</i>