



Proposed Changes to CAA Scheme of Charges

Air Operator and Police Air Operator Certification Scheme Enclosure

1 INTRODUCTION

- 1.1 Details of revisions proposed to apply from 1 April 2013 are shown in red within this Enclosure. Current charges are shown in brackets where direct comparison is possible.

2 CHARGES INDEX

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3 AOC/PAOC APPLICATION CHARGES

3.1 Grant of an AOC or PAOC

3.1.1 Application

Applicants for the grant of an AOC or PAOC must pay for the investigations required by the CAA. Except for certain applications described in paragraphs 3.1.4 to 3.1.6, when alternative charges apply, an applicant must pay an Application charge before an AOC or PAOC will be granted. The Application charge comprises:

- a) a Basic charge; and
- b) in certain cases an additional charge.

3.1.2 Basic charge

- a) The Basic charge is payable when the application is submitted and is determined by reference to the aircraft type(s) included in the application. Each aircraft type fits within a category specified in Column 1 of Table 1, which determines the appropriate charges specified in Columns 2 and 3.

- b) The charge is calculated using the formula:

$$\text{Charge (£)} = \text{£}a + (\text{£}b_1 + \text{£}b_2 + \text{£}b_n)$$

where:

a = Basic charge for the heaviest aircraft type in the applicant's application, determined by reference to Column 2 of Table 1; and

b = Charge for each additional aircraft type in the same application for the 1st to *n*th additional aircraft types, determined by reference to Column 3 of Table 1.

Table 1

Category of aircraft	Heaviest type	Additional type
Column 1	Column 2	Column 3
Single engine aeroplanes	£6,663 (£6,663)	£1,332 (£1,332)
Aeroplanes not above 5,700 kg	£9,860 (£9,860)	£1,864 (£1,864)
Aeroplanes between 5,700 kg and 40 tonnes	£17,858 (£17,858)	£4,664 (£4,664)
Aeroplanes above 40 tonnes	£22,123 (£22,123)	£6,663 (£6,663)
Single engine helicopters	£7,198 (£7,198)	£1,864 (£1,864)
Multi-engine helicopters operating onshore	£9,062 (£9,062)	£2,130 (£2,130)
Multi-engine helicopters operating offshore	£14,392 (£14,392)	£3,198 (£3,198)
Airships	£9,062 (£9,062)	£2,130 (£2,130)
Any aircraft type where grant of a PAOC is sought	£6,126* (6,126*)	£532* (£532*)

* If the PAOC applicant already holds an AOC, this charge will be reduced by 50%.

3.1.3 Additional charge

- a) An additional charge is payable for the grant of an AOC or PAOC if the number of hours expended by the CAA in dealing with the application exceeds the standard number of hours for that application.
- b) Each aircraft type fits within a category specified in Column 1 of Table 2, which determines the standard hours for that application specified in Columns 2 and 3 of Table 2.
- c) The additional charge payable for each hour expended by the CAA in excess of the standard hours is £172 (£172) and will be invoiced in arrears to the applicant, payable before the AOC or PAOC is granted.
- d) The additional charge is calculated using the following formula:

$$\text{Additional charge (£)} = (c \text{ hours} - \text{total standard hours}) \times \text{£}r/\text{hour}$$
where
c = Total hours expended by the CAA in dealing with the application; and
r = CAA charge rate per hour, being £172 (£172)
and

$$\text{Total standard hours} = d + (e_1 + e_2 + e_n) \text{ hours}$$
where
d = The standard hours for the heaviest aircraft type in the application, determined by reference to Column 2 of Table 2; and
e = The standard hours for each additional aircraft type in the same application for the 1st to *n*th additional aircraft types, determined by reference to Column 3 of Table 2.

Table 2

Category of aircraft	First type (Standard hours)	Additional type (Standard hours)
Column 1	Column 2	Column 3
Single engine aeroplanes	38 (38)	8 (8)
Aeroplanes not above 5,700 kg	56 (56)	11 (11)
Aeroplanes between 5,700 kg and 40 tonnes	101 (101)	26 (26)
Aeroplanes above 40 tonnes	125 (125)	38 (38)
Single engine helicopters	41 (41)	11 (11)
Multi-engine helicopters operating onshore	51 (51)	12 (12)
Multi-engine helicopters operating offshore	81 (81)	18 (18)
Airships	51 (51)	12 (12)
Any aircraft type where grant of a PAOC is sought	35 (35)	3 (3)

3.1.4 **Charge for A-A AOC**

When an application is made for the grant of an A-A AOC for aircraft where the MTWA does not exceed 5,000 kg, the charge shall be the greater of:

- a) £270 (£270); or
- b) £90 (£90) for each month of validity applied for.

3.1.5 **Charge for Restricted A-B AOC**

When an application is made for the grant of a Restricted A-B AOC, the applicant shall pay a charge that is the greater of:

- a) £606 (£606); or
- b) £202 (£202) for each month of validity applied for.

For the purposes of this paragraph a Restricted A-B AOC is one which is subject to restrictions which include:

- Day Visual Flight Rules (VFR) only;
- Only single-engine piston aircraft to operate under the AOC;
- All aircraft MTWA on the AOC fleet is less than 2,000 kg;
- A-B operations restricted to points within mainland UK.

3.1.6 **Charge for Balloon operators**

When an application is made for the grant of a Balloon AOC, the relevant charge in paragraph 5.2.1 is payable.

For the purposes of this Scheme, 'grant' will apply to new applications and to applications from previous Balloon AOC holders where the previously held certificate has lapsed for more than 6 months.

3.2 **Charge on application for variation of an AOC or PAOC**

3.2.1 **Application**

Except for certain applications described in paragraphs 3.2.4 to 3.2.10, where different charges apply, an applicant applying for a variation of its AOC or PAOC must pay an Application charge for the investigation required by the CAA before the AOC or PAOC will be varied. The Application charge comprises:

- a) a Basic charge (under paragraph 3.2.2); and
- b) in certain cases, an additional charge (under paragraph 3.2.3).

3.2.2 Basic charge

The basic charge is payable when the application is submitted and is determined by reference to the aircraft type(s) included in the application and the type of variation. Each aircraft type contained in the application fits within a category specified in Column 1 of Table 3, which determines the appropriate charges specified in Columns 2, 3 and/or 4 for the following types of variation:

Column 2: Specification of an additional aircraft type on an AOC or PAOC;

Column 3: Change of substantive operating region(s) or any substantive route;

Column 4: Addition or amendment of a temporary operating region or route concerning each aircraft type within the application.

Table 3

Category of aircraft	Additional aircraft type	New region/ substantive region or route	Temporary region or route
Column 1	Column 2	Column 3	Column 4
Single engine aeroplanes	£3,733 (£3,733)	£1,332 (£1,332)	£444 (£444)
Aeroplanes not above 5,700 kg	£5,729 (£5,729)	£1,598 (£1,598)	£532 (£532)
Aeroplanes between 5,700 kg and 40 tonnes	£10,660 (£10,660)	£2,131 (£2,131)	£710 (£710)
Aeroplanes above 40 tonnes	£12,262 (£12,262)	£2,131 (£2,131)	£710 (£710)
Single engine helicopters	£3,198 (£3,198)	£2,131 (£2,131)	£710 (£710)
Multi-engine helicopters operating onshore	£3,733 (£3,733)	£2,398 (£2,398)	£800 (£800)
Multi-engine helicopters operating offshore	£5,332 (£5,332)	£3,197 (£3,197)	£1,065 (£1,065)
Airships	£5,065 (£5,065)	n/a	n/a
Any aircraft type operated under a PAOC	£3,198 (£3,198)*	(Refer to paragraph 3.2.10)	

* This charge will be reduced by 50% if:

- the PAOC application is made simultaneously with an application for the variation of an AOC in respect of the same aircraft type(s); or
- the PAOC applicant also holds an AOC already specifying the relevant aircraft type(s).

3.2.3 Additional charge

- An additional charge is payable for the variation of an AOC or PAOC where the number of hours expended by the CAA in dealing with the application exceeds the standard number of hours for that application.

- b) The additional charge is dependent on the aircraft type(s) included in the application and the type of variation. Each aircraft type fits within a category specified in Column 1 of Table 4, which determines the appropriate standard hours specified in Columns 2, 3 and/or 4 of Table 4 for the following types of variation:
- i) Column 2: Specification of additional aircraft types on an AOC;
 - ii) Column 3: Change of substantive operating region(s) or any substantive route;
 - iii) Column 4: Addition or amendment of a temporary operating region or route.
- c) The additional charge payable for each hour in excess of the standard hours is **£172** (£172) and will be invoiced in arrears to the AOC or PAOC applicant and is payable before the variation is granted.
- d) The additional charge is calculated using the following formula:
Additional charge (£) = (c hours – total standard hours) x £r/hour
where
c = Total CAA hours expended by the CAA in dealing with the application; and
*r = CAA charge rate per hour, being **£172** (£172)*
and
Total standard hours = (d₁ + d₂ + d_n) + (e₁ + e₂ + e_n) + (f₁ + f₂ + f_n) hours
where
d = The standard hours for each category of aircraft affected by the additional types within the variation application, determined by reference to Column 2 of Table 4;
e = The standard hours for each category of aircraft affected by the addition of a new operating region or a change to the substantive operating region(s) or any substantive route specified in the variation application, determined by reference to Column 3 of Table 4; and
f = The standard hours for each category of aircraft affected by an addition or amendment to a temporary operating region or a temporary route, determined by reference to Column 4 of Table 4.

Table 4

Category of aircraft	Additional aircraft types (Standard hours)	New region/ substantive region or route (Standard hours)	Temporary region or route (Standard hours)
Column 1	Column 2	Column 3	Column 4
Single engine aeroplanes	21 (21)	8 (8)	3 (3)
Aeroplanes not above 5,700 kg	32 (32)	9 (9)	3 (3)
Aeroplanes between 5,700 kg and 40 tonnes	60 (60)	12 (12)	4 (4)
Aeroplanes above 40 tonnes	69 (69)	12 (12)	4 (4)
Single engine helicopters	18 (18)	12 (12)	4 (4)
Multi-engine helicopters operating onshore	21 (21)	14 (14)	5 (5)
Multi-engine helicopters operating offshore	30 (30)	18 (18)	6 (6)
Airships	29 (29)	n/a	n/a
Any aircraft type operated under a PAOC	18 (18)	(Refer to paragraph 3.2.10)	

3.2.4 **AOC/PAOC operators under merger/takeover (Amended)**

3.2.4.1 Subject to paragraph 3.2.4.2, where an AOC/PAOC holder applies to merge its operations with or takeover the operations of another AOC/PAOC holder, the applicant shall pay:

- a) an initial charge of **£1,204** (£1,204); plus
- b) **£172** (£172) per hour for each hour expended by the CAA in the application in excess of 7 hours per application.

3.2.4.2 Where existing variation charges would otherwise apply under paragraphs 3.2.1, 3.2.2, 3.2.3 or 3.2.10 then these shall be charged and payable under those paragraphs (in addition to those charges under paragraph 3.2.4.1).

3.2.5 **Change / extension of an AOC / PAOC operation to include cargo or passenger services**

3.2.5.1 Where an existing AOC/PAOC holder applies to extend or change its operations from:

- a) passenger to cargo, or passenger to include cargo, then the applicant shall pay an initial charge equivalent to 25% of the additional aircraft type variation charge specified in Column 2 of Table 3 according to the heaviest aircraft type in the combined fleet; or
- b) cargo to passenger, or cargo to include passenger, then the applicant shall pay a charge equivalent to 50% of the additional aircraft type variation charge specified in Column 2 of Table 3 according to the heaviest aircraft type in the combined fleet.

3.2.5.2 The charges under sub-paragraphs 3.2.5.1 a) or b) will also be subject to an additional charge based upon any excess hours incurred as explained under paragraph 3.2.3 and referring to the hours in excess of the standard hours specified in Column 2 of Table 4, adjusted by 25% to relate to the charge under sub-paragraph 3.2.5.1 a), or by 50% to relate to the charge under sub-paragraph 3.2.5.1 b).

3.2.6 **Search and Rescue operations and/or Fire and Rescue Service operations**

Where an application is received from an operator wishing to vary its AOC/PAOC to allow Search and Rescue operations **and/or Fire and Rescue Service operations** to be conducted in accordance with General AOC Condition A1, the applicant shall pay an initial charge of **£3,448** (£3,448) plus payment of **£172** (£172) for each hour in excess of 20 hours per application.

3.2.7 **Transition from VFR to IMC operations**

When an application is made for the variation of an AOC/PAOC to transition operations from Visual Flight Rules (VFR) to permit operations under Instrument Meteorological Conditions (IMC), the applicant shall pay an initial charge of **£1,723** (£1,723) plus payment of **£172** (£172) for each hour in excess of 10 hours per application.

3.2.8 **New type of aircraft – additional charge**

When applying for the grant of an AOC or PAOC or for the variation of such a certificate, being in either case an application to add an additional aircraft type to the certificate that the CAA has not previously granted, the applicant shall pay, in addition to any other charge payable by virtue of this Scheme, a charge of such amount as may be decided and invoiced by the CAA having regard to the expense incurred by it in training a Member or employee of the CAA to act as pilot in command of that type of aircraft, but not exceeding **£85,100** (£85,100).

3.2.9 **Charge for Balloon operators**

When an application is made for the variation of a Balloon AOC, the relevant charge in paragraph 5.2.2 is payable to the CAA.

3.2.10 Charge for PAOC operators

- a) When an application is made for the variation of a PAOC, the type of variation determines the appropriate charge specified in Column 3 of Table 5, to be paid by the applicant.

Table 5

Type of variation	Standard hours	Charge
Column 1	Column 2	Column 3
Variation of operating region or regions	9 (9)	£1,597 (£1,597)
Application to operate on a specified route outside the operating region or regions	3 (3)	£532 (£532)

- b) An additional charge calculated in accordance with paragraph 3.2.3 is payable to the CAA where the number of hours expended by the CAA in dealing with the application exceeds the standard number of hours for that application specified in Column 2 of Table 5.

3.2.11 Change of name

When an application is made for the variation of an AOC or PAOC to change the company name or trading name of the holder where the legal entity is unchanged, the applicant shall pay to the CAA a charge of £164 (£164).

4 AOC/PAOC ONGOING CHARGES**4.1 Annual charges****4.1.1 Annual charges for all AOCs plus those PAOCs not held by Police/Public Authorities**

Section 4.1.1 relates to all AOCs and to PAOCs held by any person apart from a Police Authority (as defined in article 255(1) of the Order, or a Public Authority (as defined in Section 3 of the Freedom of Information Act 2000).

4.1.1.1 Annual charge to be paid (Amended)

- a) The holder of an AOC (other than an A-A AOC, Restricted A-B AOC or a Balloon AOC) or PAOC which is in force or in suspension on 1 April shall pay an annual charge, payable on that date, to the CAA.
- b) The charge is determined by reference to the heaviest aircraft type included under the AOC or PAOC and the total number of aircraft in the fleet, regardless of aircraft weight, operated under the AOC or PAOC.
- c) Where a charge for the same year is payable by the holder for an AOC as well as for a PAOC then the annual charge for the PAOC shall be reduced by 80%.
- d) **(Deleted)**
- e) The holder of an AOC or PAOC which is in force or in suspension on 1 April shall pay to the CAA an annual charge in accordance with paragraph 4.1.1.2. For those AOC or PAOC operators in the annual charge category reference bands C2, E1 or F1 (see Table 6), the operator shall pay to the CAA an annual charge determined by first calculating the annual target charge in accordance with paragraph 4.1.1.2 and then applying the restriction calculated in accordance with paragraph 4.1.1.3.

4.1.1.2 Calculation of annual target charge (Amended)

- a) The annual target charge is calculated by reference to the heaviest aircraft type included under the AOC or PAOC as referred to in Column 1 of Table 6 and the total average number of aircraft (regardless of weight) operated under the AOC or PAOC during the 12 months preceding 1 April for which the annual charge relates, (the assessment year) as referred to in Column 3 of Table 6.

- b) For the purposes of this charge this will be deemed to be the heaviest aircraft type for that year reported to the CAA's Consumer Protection Group on 30 June and 31 December for an operator with a Type A Operating Licence and on 31 May and 31 October for an operator with a Type B Operating Licence **during the assessment year**.
- c) The total average number of aircraft will be deemed to be the average of the number reported to the CAA's Consumer Protection Group on 30 June and 31 December for an operator with a Type A Operating Licence and on 31 May and 31 October for an operator with a Type B Operating Licence **during the assessment year**. Where the average total of aircraft fleet numbers includes a ½, the total would be rounded down to the nearest whole number.
- d) For the purposes of this charge the dates referred to in sub-paragraphs b) and c) are called the "assessment dates".
- e) Where an AOC/PAOC is under suspension on just one of the assessment dates referred to under 4.1.1.2 b), then the heaviest aircraft type operating under the AOC/PAOC on the assessment date where the AOC/PAOC is not under suspension will be used to calculate the heaviest aircraft type. The total number of aircraft operated under the AOC/PAOC on that date will be used as the number of aircraft in the fleet for the year.
- f) Where an AOC/PAOC is under suspension on both of the appropriate assessment dates referred to under 4.1.1.2 b), then the heaviest aircraft type approved under the AOC/PAOC on the assessment dates will be used to calculate the operator charge under Column 4 and no separate per aircraft charge under Column 5 will be applicable.
- g) Should the AOC/PAOC not be in existence on the first of the two appropriate assessment dates then the heaviest aircraft type and number of aircraft will be determined from the data reported as at the second assessment date.
- h) Should the AOC/PAOC not be in existence until after the appropriate second assessment date, the annual charge for the following year shall be based upon a declaration made by the operator of aircraft used under the AOC/PAOC as at 31 March **in the assessment year** or, if no aircraft are operating on that date, on the heaviest aircraft type on the AOC/PAOC, in order to determine the appropriate operator charge element of the annual charge to levy.
- i) Where an AOC/PAOC holder merges with or takes over one or more other AOC/PAOC holders during the assessment year, such that one or more of the AOC/PAOC holders will not be subjected to an annual charge, the annual charge for the continuing AOC/PAOC holder shall be based upon the following:
 - i) Merged/taken over before the first appropriate assessment date – average of returns submitted for both assessment dates;
 - ii) Merged/taken over between the first and the second appropriate assessment date – the return received for only the second assessment date;
 - iii) Merged/taken over after the second appropriate assessment date – a special declaration of aircraft operated under the AOC/PAOC provided by the operator as at 31 March prior to the year to which the annual charge relates.
- j) If an aircraft is normally operated under an AOC/PAOC but on the date of assessment the aircraft is under maintenance or is otherwise taken out of service then this aircraft will be deemed to be operating under the AOC/PAOC as at the assessment date.
- k) The annual target charge is:
 - i) that specified in the appropriate line in Column 4 of Table 6 plus
 - ii) the amount specified in the appropriate line in Column 5 multiplied by the total number of aircraft operated under the AOC or PAOC irrespective of their MTWA (as detailed in paragraph 4.1.1.2 above).

Table 6

Category of operator	Charge ref.	Number of aircraft	Target charge per operator	Target charge per aircraft
Column 1	Column 2	Column 3	Column 4	Column 5
Aeroplanes above 40 tonnes	A1	0-4 aircraft	£43,100 (£43,100)	£6,570* (£6,570)*
	A2	5-19 aircraft	£55,800 (£55,800)	£6,570* (£6,570)*
	A3	20-44 aircraft	£101,500 (£101,500)	£6,570* (£6,570)*
	A4	45-149 aircraft	£176,400 (£176,400)	£6,570* (£6,570)*
	A5	150 or more aircraft	£295,600 (£295,600)	£6,570* (£6,570)*
Aeroplanes between 5,700 kg and 40 tonnes	B1	0-4 aircraft	£21,600 (£21,600)	£2,200 (£2,200)
	B2	5-9 aircraft	£37,300 (£37,300)	£2,200 (£2,200)
	B3	10-24 aircraft	£46,200 (£46,200)	£2,200 (£2,200)
	B4	25 or more aircraft	£88,500 (£88,500)	£2,200 (£2,200)
Aeroplanes not above 5,700 kg	C2	0-4 aircraft	£12,200 (£12,200)	£740 (£740)
	C3	5 or more aircraft	£17,400 (£17,400)	£740 (£740)
Multi-engine helicopters operating offshore	D1	0-19 aircraft	£26,700 (£26,700)	£5,540 (£5,540)
	D2	20 or more aircraft	£69,300 (£69,300)	£5,540 (£5,540)
Multi-engine helicopters operating onshore	E1	0-10 aircraft	£8,200 (£8,200)	£1,670 (£1,670)
	E2	11-14 aircraft	£16,000 (£16,000)	£1,670 (£1,670)
	E3	15 or more aircraft	£22,600 (£22,600)	£1,670 (£1,670)
Single engine helicopters or airships	F1	0-20 aircraft	£9,000 (£9,000)	£1,050 (£1,050)
	F2	21 or more aircraft	£14,900 (£14,900)	£1,050 (£1,050)

* If in the previous 12 months commencing 1 April and ending 31 March, cargo tonne-kilometres flown exceed available seat-kilometres flown, this charge will be reduced by £1,240 (£1,240) per aircraft.

NOTE: Band C1 is used for CAA analysis purposes only and relates to A-A and Restricted A-B Operators that are not subject to the annual AOC charges.

4.1.1.3 **Calculation of restriction on annual charges actually payable (Amended)**

Where (under paragraph 4.1.1.1) an AOC / PAOC holder is in the annual charge category reference bands C2, E1 and F1 (see Table 6), a concession will apply with annual charges being capped to increase by no more than **£1,000** (£1,000) per aircraft when compared with the annual charge **for the previous annual charge year**:

- a) **(Deleted)**
- b) **(Deleted)**

NOTE: With regard to sub-paragraph 4.1.1.3, where an operator changes its fleet size or moves band classification year-on-year, the annual charges will be based on the corresponding revised target charges as specified in Table 6. **(Amended)**

4.1.2 **Annual Charges for PAOCs held by Police/Public Authorities only**

The following references to a 'PAOC' under paragraphs 4.1.2.1 to 4.1.2.3 below relate to a PAOC held by a Police Authority (as defined in article 255(1) of the Order) or a Public Authority (as defined in Section 3 of the Freedom of Information Act 2000).

4.1.2.1 **Annual charge to be paid – Police Authority or Public Authority PAOCs (Amended)**

- a) The holder of a PAOC held by a Police Authority or by a Public Authority which is in force or in suspension on 1 April **for which the annual charge relates (the annual charge year)**, shall pay an annual charge **determined by first calculating the annual target charge in accordance with paragraph 4.1.2.2 and then applying the restriction calculated in accordance with paragraph 4.1.2.3**, payable on that date, to the CAA.
- b) The charge is determined by reference to the total number of bases and the total number of aircraft in the fleet, regardless of aircraft weight or type, operated under the PAOC.
- c) **(Deleted)**

4.1.2.2 **Calculation of annual target charge for PAOCs held by a Police Authority or by a Public Authority (Amended)**

- a) Determine the number of bases operated under the Police Authority or a Public Authority.
For the purposes of this charge the location of all bases will be reported by the Police Authority or Public Authority to the CAA as at 31 December **prior to the annual charge year**.
- b) Determine the number of aircraft (regardless of weight or type) operated under the Police Authority or Public Authority PAOC.
For the purposes of this charge this will be deemed to be the average number of aircraft reported to the CAA on 31 May and on 31 October **prior to the annual charge year**. Where the average total of aircraft fleet numbers includes a 1/2, the total would be rounded down to the nearest whole number.
- c) Where the activities of a PAOC operated by a Police Authority or by a Public Authority or are transferred to a separate PAOC operated by a Police Authority or by a Public Authority after the dates of declaration in (a) and (b) above, then for the purposes of calculating the annual target charge the number of bases and aircraft will be adjusted such that the number used for calculation purposes would be as if the transfer had taken place before 31 December **prior to the annual charge year**.
- d) If an aircraft is normally operated under a PAOC but on the date of assessment the aircraft is under maintenance or is otherwise taken out of service then this aircraft will be deemed to be operating under the PAOC as at the assessment date.

- e) The annual target charge is:
 - i) Number of bases multiplied by **£8,200** (£8,200), plus
 - ii) Number of aircraft multiplied by **£1,670** (£1,670).

4.1.2.3 Calculation of restriction on annual charges actually payable (PAOCs held by a Police Authority or by a Public Authority) (Amended)

- a) **(Deleted)**
- b) For those PAOC operators in the annual charge category reference bands C2, E1 and F1 (see Table 6), a concession will apply with annual charges being capped to increase by no more than **£1,000** (£1,000) per aircraft when compared with the annual charge **for the previous annual charge year**.¹

Note: With regard to sub-paragraph 4.1.2.3, where an operator changes its fleet size or moves band classification year-on-year, the annual charges will be based on the corresponding revised target charges as specified in Table 6.

4.2 Variable charges

The holder of an AOC or a PAOC, to whom sub-paragraph a) applies, shall pay a periodic variable charge to the CAA in accordance with the following:

- a) Where the AOC or PAOC specifies one or more aircraft types with MTWAs exceeding 40 tonnes, the charge for each aircraft operated pursuant to the AOC or PAOC (irrespective of their MTWA) is:
 - i) **2.56** (2.64) pence for every 1,000 available seat-kilometres flown by that aircraft; and
 - ii) **12.59** (12.98) pence for every 1,000 cargo tonne-kilometres flown by that aircraft.
- b) The charge is payable upon demand by the CAA for each month during any part of which an AOC or PAOC has been in force or suspended.
- c) The CAA may require an AOC or PAOC holder to pay a deposit on the last day of each month in respect of which a charge under this paragraph is payable. The deposit is calculated as the amount that would be payable for the month if the AOC or PAOC holder had operated the same number of available seat-kilometres and cargo tonne-kilometres as operated in the corresponding month of the previous year.

5 OTHER CHARGES

5.1 Other applications

5.1.1 Extended Twin Engine Operations (ETOPS)

When an application is made for the grant of **an approval** under OPS 1.246 of EU-OPS or for the grant of an ETOPS permission under the EASA air operations Regulation, the applicant shall pay to the CAA in respect of each aircraft type applied for, a charge specified in Column 2 and Column 3 of Table 7:

Table 7

Application type	First type included in application	Each additional type included in application
Column 1	Column 2	Column 3
Grant of permission	£18,116 (£18,116)	£9,058 (£9,058)

(This Note is not part of the Scheme)

1. **(Note deleted)**

5.1.2 Aircraft leasing – dry leasing

- a) When making an application for approval to dry lease out a UK registered aircraft to be operated under a foreign AOC for public transport or commercial air transport, the applicant shall pay to the CAA a charge specified in Table 8, for the investigations required by the CAA in order to ensure the oversight responsibilities under ICAO Annex 6 are agreed between the UK and the State of the Operator.

Table 8

Application type	Description of aircraft weight	Application charge per aircraft	Charge per month or part thereof applied for
Column 1	Column 2	Column 3	Column 4
UK registered aircraft to be operated by a foreign operator for public transport or commercial air transport	All aircraft excepting those exceeding 15 tonnes and operated pursuant to an AOC	£3,384 (£3,384)	–
	Exceeding 15 tonnes and operated pursuant to an AOC	£3,384 (£3,384)	£7 (£7) per 500 kg (or part thereof) of MTWA
Additional aircraft to be included in an existing leasing arrangement (by way of addition or substitution)	All aircraft excepting those exceeding 15 tonnes and operated pursuant to an AOC	£846 (£846)	–
	Exceeding 15 tonnes and operated pursuant to an AOC	£846 (£846)	£7 (£7) per 500 kg (or part thereof) of MTWA
Extension of existing leasing arrangements	Not exceeding 15 tonnes	£846 (£846)	–
	Exceeding 15 tonnes and operated pursuant to an AOC	£846 (£846)	£7 (£7) per 500 kg (or part thereof) of MTWA

- b) i) When making an application for a foreign registered aircraft to be operated by a UK AOC holder for the purposes of public transport or commercial air transport, other than an application referred to under paragraph 5.1.2 b) ii), the applicant shall pay to the CAA a charge as specified in Column 3 of Table 9, for the investigations required by the CAA, in order to issue an approval under OPS 1.165 of EU-OPS or the EASA air operations Regulation and Regulation 17 of the Operation of Air Services in the Community Regulations 2009.
- ii) When making an application for a foreign registered aircraft to be operated on an AOC of a Channel Islands or Isle of Man operator, a Balloon operator or an A-A AOC operator, for the purposes of public transport or commercial air transport, the applicant shall pay to the CAA a charge as specified in Column 3 of Table 9, for the investigations required by the CAA in order to issue a Direction in accordance with Article 249 of the Order.
- c) If the total cost of the investigations by the CAA under sub-paragraphs 5.1.2 a) or b) exceeds the application charge then the applicant shall pay to the CAA a charge of such amount as may be decided and invoiced by the CAA having regard to the

expense incurred by it in making the investigations but not exceeding **£44,500** (£44,500) for any year, or part of the year, during which the investigations are carried out.

Table 9

Application type	Description of aircraft weight	Application charge
Column 1	Column 2	Column 3
Foreign registered aircraft to be operated by a UK AOC operator for public transport or commercial air transport	All aircraft excepting those exceeding 15 tonnes and operated pursuant to an AOC	£3,384 (£3,384)
	Exceeding 15 tonnes and operated pursuant to an AOC	£3,384 (£3,384)
Additional aircraft to be included in an existing leasing arrangement (by way of addition or substitution)	All aircraft excepting those exceeding 15 tonnes and operated pursuant to an AOC	£846 (£846)
	Exceeding 15 tonnes and operated pursuant to an AOC	£846 (£846)
Extension of existing leasing arrangements	All aircraft excepting those exceeding 15 tonnes and operated pursuant to an AOC	£846 (£846)
	Exceeding 15 tonnes and operated pursuant to an AOC	£846 (£846)

5.1.3 Aircraft leasing – wet leasing

- a) When making an application for a foreign registered aircraft and its flight crew to be operated under a wet lease on behalf of the holder of an AOC for the purposes of public transport or commercial air transport, and the CAA deems it necessary to investigate the lessor in order to:
 - i) advise the Department for Transport (DfT) that the CAA is satisfied that all safety standards equivalent to those imposed by Community or national law are met in accordance with Article 13(3) of Regulation (EC) No. 1008/2008; or
 - ii) issue an approval under OPS 1.165 of EU-OPS, the EASA air operations Regulation or Regulation 17 of the Operation of Air Services in the Community Regulations 2009; as appropriate,

the applicant shall pay to the CAA a charge of **£31,200** (£31,200).
- b) When a UK operator (other than the applicant referred to in a) above) applies for an approval to 'wet' lease a foreign registered aircraft and its flight crew from a foreign operator that has been audited by the CAA,² the new applicant shall pay to the CAA a charge of **£4,632** (£4,632).
- c) If the total cost of the investigations by the CAA under sub-paragraphs 5.1.3 a) or b) exceeds the application charge then the applicant shall pay to the CAA a charge of such amount as may be decided and invoiced by the CAA having regard to the expense incurred by it in making the investigations but not exceeding **£44,500** (£44,500) for any year, or part of the year, during which the investigations are carried out. The charge shall be payable on demand.

(This Note is not part of the Scheme)

2. The new applicant will need to be briefed on any additional responsibilities that result from the approval conditions issued by the CAA and will be required to satisfy the CAA that it has the resources and procedures to meet these responsibilities

5.1.4 **Flight time limitations**

When an application is made for the approval of an amendment to or a replacement of a scheme approved under Articles 145 and 149 of the Order, the applicant shall pay to the CAA a charge of **£623** (£623).

5.1.5 **Approval of Alternative Training Qualification Programmes (ATQP)**

When an application is made by an AOC holder for the grant of an approval of an ATQP, under EU-OPS (OPS 1.978) or the EASA air operations Regulation for the training and checking requirements of flight crew, the applicant shall pay to the CAA a charge of **£7,249** (£7,249).

5.1.6 **Dangerous goods and munitions of war**

5.1.6.1 When a person applies for an approval under EU-OPS, the EASA air operations Regulation or the Air Navigation (Dangerous Goods) Regulations 2002, in respect of the carriage of dangerous goods and munitions of war and in respect of the provision of company training programmes and training instructor approvals, charges are payable to the CAA by the applicant as specified in Tables 10, 11 and 12.

Table 10

Nature of permission, approval or exemption	Nature of goods carried	Application charge	Annual renewal charge on 1 April
Column 1	Column 2	Column 3	Column 4
Non-expiring permission or approval	Dangerous goods in normal circumstances	£1,896 (£1,896)	£1,896 (£1,896)
Permission, approval or exemption	Dangerous goods in other than normal circumstances	£119 (£119) per hour of CAA investigation (minimum 1 hour)	£119 (£119)
Non-expiring permission or approval	Munitions of war	£657 (£657)	£657 (£657)
Specific expiring permission, approval or exemption	Munitions of war	£65 (£65)	n/a

Table 11

Company training programme 2-year approval type	Application charge	Renewal charge
Column 1	Column 2	Column 3
Training shippers and cargo agents only	£1,148 (£1,148)	£820 (£820)
Training of acceptance staff only	£1,148 (£1,148)	£820 (£820)
Training of both shippers and cargo agents and acceptance staff	£1,641 (£1,641)	£1,316 (£1,316)
Training in connection with radioactive materials	£410 (£410)	£204 (£204)
Other	Charge	
Examination pass certificate number	£7.03 (£7.03) per number	

Table 12

Training instructor approvals (non-expiring)	Application charge
Column 1	Column 2
Training instructor approval – technical knowledge – examination (part 1)	£125 (£125)
Training instructor approval – competence in implementing training examination (part 2)	£410 (£410)

(New charges)**5.1.6.X Dangerous Goods carried by a UK Designated Postal Operator**

On making application for approval of the procedures of a UK Designated Postal Operator in respect of the carriage of dangerous goods in accordance with the Air Navigation (Dangerous Goods) Regulations 2002, and in respect of the provision of approved company training programmes, charges are payable to the CAA by the applicant as specified in Tables XX and YY.

Table XX

Nature of approval or exemption	Application charge	Annual Continuation charge payable on 1 April
Column 1	Column 2	Column 3
Non-expiring approval of procedures for controlling the introduction of dangerous goods in mail into air transport	£3,612	£3,612
Non-expiring approval of procedures for the acceptance of lithium batteries in mail into air transport	£602	N/A
Exemption for dangerous goods in other than normal circumstances	£172 per hour of CAA investigation (minimum 1 hour)	N/A

Table YY

Company training programme 2-year approval	Application charge	Renewal charge
Column 1	Column 2	Column 3
Training programme for the Designated Postal Operator	£2,408	£1,204

5.1.7 Aerodrome survey for approval to handle explosives/munitions of war

When an application is made by an aerodrome for its aerodrome to be surveyed by the CAA in order to approve that aerodrome to handle explosives/munitions of war, the applicant shall pay to the CAA a charge of **£1,600** (£1,600).

5.1.8 Copies of documents

When applying for the issue by the CAA of a copy or replacement of an AOC or a PAOC document, the applicant shall pay to the CAA a charge of **£22** (£22).

5.1.9 **Additional charge where functions are performed abroad (Amended)**

Where, in connection with any function in respect of which a charge is specified in the Scheme, the CAA deems it necessary for a Member or employee of the CAA or any other person appointed to act on behalf of the CAA to travel outside the country in which such a person is normally stationed (for which purpose the United Kingdom, the Isle of Man and the Channel Islands shall be treated as one country) the applicant shall pay to the CAA **on demand**, in addition to the appropriate charge specified in this Scheme, a charge of such amount as may be decided and invoiced by the CAA having regard to the expense thereby incurred (or to be incurred) by it but not exceeding, in respect of each Member or employee of the CAA or each person appointed to act on behalf of the CAA, **£13,260** (£13,260) per week, or part thereof, during which each such Member or employee or any other person appointed to act on behalf of the CAA is absent from the country in which such a person is normally stationed.

5.2 **Balloon AOC operators**

5.2.1 **Grant of an AOC**

When an application is made for the grant³ of a Balloon AOC, the applicant shall pay to the CAA a charge of the amount specified in Column 2 of Table 13, appropriate to the total passenger capacity specified in the application.

Table 13

Total passenger capacity	Charge
Column 1	Column 2
Not exceeding 10 passengers	£1,626 (£1,626)
11 to 20 passengers	£1,859 (£1,859)
21 to 30 passengers	£2,092 (£2,092)
31 to 40 passengers	£2,324 (£2,324)
41 to 50 passengers	£2,580 (£2,580)
51 to 60 passengers	£2,833 (£2,833)
61 to 70 passengers	£3,086 (£3,086)
Exceeding 70 passengers	£3,339 (£3,339)

5.2.2 **Variation of an AOC**

When an application is made for the variation of a Balloon AOC, the applicant shall pay to the CAA a charge of the amount specified in Column 2 of Table 14 appropriate to the type of variation applied for.

Table 14

Type of variation	Charge
Column 1	Column 2
Specification of an additional balloon group	£165 (£165)
Variation of operating region or regions	£165 (£165)

(This Note is not part of the Scheme)

3. For the purposes of this Scheme, 'grant' will apply to new applications and to applications from previous Balloon AOC holders where the previously held certificate has lapsed for more than 6 months.

5.2.3 **Renewal of an AOC**

When an application is made for the renewal of a Balloon AOC, the applicant shall pay to the CAA a charge of the amount specified in Column 2 of Table 15 appropriate to the total passenger capacity specified in the certificate.

Table 15

Total passenger capacity	Charge
Column 1	Column 2
Not exceeding 10 passengers	£1,082 (£1,082)
11 to 20 passengers	£1,241 (£1,241)
21 to 30 passengers	£1,393 (£1,393)
31 to 40 passengers	£1,548 (£1,548)
41 to 50 passengers	£1,719 (£1,719)
51 to 60 passengers	£1,888 (£1,888)
61 to 70 passengers	£2,057 (£2,057)
Exceeding 70 passengers	£2,226 (£2,226)

5.2.4 **Variable charge**

No variable charge is payable.